

**North Yorkshire County Council**  
**19 February 2020**  
**The Report of the Executive**

**1.0 Purpose of the Report**

- 1.1 To provide County Council with details of reports received, decisions made and recommendations to County Council by the Executive.

**2.0 Details of meetings**

- 2.1 The following sections provide details of the meetings of the Executive that were held on 26 November 2019, 17 December 2019, 14 January 2020 and 4 February 2020.

**2.2 26 November 2019 Executive (Performance Monitoring) meeting**

The Executive met on Tuesday 26 November 2019. County Councillor Carl Les in the Chair. County Councillors, David Chance, Gareth Dadd, Caroline Dickinson, Michael Harrison, Andrew Lee, Don Mackenzie, Patrick Mulligan, Janet Sanderson and Greg White were present.

Also in attendance: County Councillors Derek Bastiman, John Ennis, Annabel Wilkinson, Stanley Lumley and Andrew Paraskos.

Substantive agenda items were:

- Quarterly Performance and Budget report.
- North Yorkshire Integrated Sexual Health Services.
- Participation in the Government's Global Resettlement Scheme.
- Appointments to Committee and Other Bodies.
- Consideration of Potential Investment Opportunity - Private Item.
- 5G Rural Connected Communities - Private Item.

The link to the reports and minutes from this meeting is as follows:

<https://democracy.northyorks.gov.uk/committees.aspx?commid=18&meetid=4037>

**2.3 17 December 2019 Executive meeting**

The Executive met on Tuesday 17 December 2019. County Councillor Carl Les in the Chair. County Councillors, David Chance, Gareth Dadd, Caroline Dickinson, Michael Harrison, Andrew Lee, Don Mackenzie, Patrick Mulligan, Janet Sanderson and Greg White were present.

Also in attendance: County Councillor Paul Haslam.

Substantive agenda items were:

- Area Constituency Committee Feedback.
- Trading Standards Enforcement Policy.
- Medical Education Service - Proposal for Alternative Model.

The link to the reports and minutes from this meeting is as follows:

<https://democracy.northyorks.gov.uk/committees.aspx?commid=18&meetid=4038>

#### 2.4 **14 January 2020 Executive meeting**

The Executive met on Tuesday 14 January 2020. County Councillor Carl Les in the Chair. County Councillors, David Chance, Gareth Dadd, Caroline Dickinson, Michael Harrison, Andrew Lee, Patrick Mulligan, Janet Sanderson and Greg White were present.

Apologies: County Councillor Don Mackenzie

Substantive agenda items were:

- Consideration of Proposed Amendments to the Council's Constitution.
- Parental Leave Policy for County Councillors.
- Schools Budget.
- Appointments to Committee and Other Bodies.
- Extra Care Housing in Bedale - Outcome of Procurement and Consideration of Scheme Proposals - Appendices A and B confidential.

The link to the reports and minutes from this meeting is as follows:

<https://democracy.northyorks.gov.uk/committees.aspx?commid=18&meetid=4039>

#### 2.5 **4 February 2020 Executive meeting**

The Executive met on Tuesday 4 February 2020. County Councillor Carl Les in the Chair. County Councillors, David Chance, Gareth Dadd, Caroline Dickinson, Michael Harrison, Andrew Lee, Don Mackenzie, Patrick Mulligan, Janet Sanderson and Greg White were present.

Substantive agenda items were:

- Area Constituency Committee Feedback.
- Budget 2020/21 and the Medium Term Financial Strategy (MTFS).
- Council Plan 2020-2024.
- Admissions Arrangements 2021/2020.
- Harrogate Rail Line Enhancement Scheme - Confidential item.

The link to the reports and minutes from this meeting is as follows:

<https://democracy.northyorks.gov.uk/committees.aspx?commid=18&meetid=4040>

### 3.0 **Recommendations by Executive for approval/information at County Council**

The following recommendations were made by Executive at the above meetings for approval and/or information at County Council:

#### 3.1 **Quarterly Performance and Budget Monitoring**

This item was considered at Executive (Performance Monitoring) on 26 November 2019. The Executive were asked to consider the proposed Prudential Indicators and make a recommendation to Council. This is detailed on page 72 of the Quarterly Performance and Budget Monitoring Report.

**The Executive RECOMMENDS:**

The revised Prudential Indicators for the period 2019/20 to 2021/22 as detailed in paragraphs 3.24 to 3.26 on page 72 of the Quarterly Performance and Budget Monitoring Report be recommended to the County Council for approval.

3.2 **Budget 2020/21 and the Medium Term Financial Strategy (MTFS)**

This item was considered at Executive on 4 February 2020, where Executive was asked to recommend to County Council for approval the Medium Term Financial Strategy (MTFS) for 2020/21 to 2023/24, the Revenue Budget for 2020/21 and Council Tax for 2020/21.

A full copy of the report is available in the Appendices Booklet, section 9.1A pages 8 to 166.

Please note that some minor updates have been made to the Budget Report to ensure that it better reflects discussions at the Executive on 4 February 2020. These are section 3.4.17 and section 8.15 in the report. See Appendices Booklet, section 9.1A at pages 20 and 45 respectively. The updates have also been highlighted in the report to make it clear what the additional material is.

**The Executive RECOMMENDS:**

1. That the County Council approve the Medium Term Financial Strategy (MTFS) for 2020/21 to 2023/24, the Revenue Budget for 2020/21 and Council Tax for 2020/21, which includes.
  - a) The Section 25 assurance statement provided by the Corporate Director, Strategic Resources regarding the robustness of the estimates and the adequacy of the reserves (paragraph 8.15) and the risk assessment of the MTFS detailed in Section 9 be noted.
  - b) In accordance with Section 42A of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011), a Council Tax requirement for 2020/21 of £321,725,322 be approved and that a Council Tax precept of this sum be issued to billing authorities in North Yorkshire (Section 3.3 and Appendix C).
  - c) In accordance with Section 42B of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011) a basic amount (Band D equivalent) of Council Tax of £1,363.47 be approved (paragraph 3.3.6 and Appendix C).
  - d) A Net Revenue Budget for 2020/21, after use of reserves, of £389,489k (Section 4.0 and Appendix F) be approved and that the financial allocations to each Directorate, net of planned savings, be as detailed in Appendix B.
  - e) In the event that the final Local Government Settlement results in a variance of less than £5m in 2020/21 then the difference to be addressed by a transfer to / from the Strategic Capacity Unallocated Reserve in line with paragraph 3.2.8 with such changes being made to Appendix D as appropriate.

- f) The Corporate Director – Children and Young People’s Service be authorised, in consultation with the Corporate Director, Strategic Resources and the Executive Members for Schools and Finance, to take the final decision on the allocation of the Schools Budget including High Needs, Early Years and the Central Schools Services Block (paragraph 3.4.12).
  - g) The Fees and Charges Strategy set out at Appendix M be approved and adopted with effect from 1 April 2020 (para 3.5.6).
  - h) A one-off sum of £1m be provided in 2020/21 to work up potential solutions which will help to advocate for capital funding for the Council and its schools as set out in paragraph 3.9.2.
  - i) A recurring sum of £3m be provided as an annual refresh sum for the Council’s IT capability in line with paragraph 3.9.3. and that such funding be released subject to satisfactory business cases resulting in the approval of the Corporate Director of Strategic Resources in consultation with the Executive Member for IT and the Executive Member for Finance.
  - j) A one-off sum of £2m be provided in 2020/21 to fund potential future redundancy costs as set out in paragraph 3.9.5. k) and that a recurring sum of £5.1m be provided in 2020/21 followed by a further recurring sum of £5.1m in 2021/22 in order to address sustainability issues in the care sector in line with paragraph 3.9.6.
  - l) The Medium Term Financial Strategy for 2020/21 to 2023/24, and its caveats, as laid out in Section 3.0 and Appendix F be approved.
  - m) The Corporate Director – Business & Environmental Services be authorised, in consultation with the Executive Members for BES, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in Appendix A1 (BES 1 to 6).
  - n) The Corporate Director – Health and Adult Services be authorised, in consultation with the Executive Members for HAS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in Appendix A1 (HAS 1 to 12).
  - o) The Corporate Director – Children and Young People’s Services be authorised, in consultation with the Executive Members for CYPS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in Appendix A1 (CYPS 1 to 11).
  - p) The Chief Executive be authorised, in consultation with the Executive Members for Central Services, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in Appendix A1 (CS 1 to 10).
  - q) Any outcomes requiring changes following Recommendations m), n), o) and p) above be brought back to the Executive to consider and, where changes are recommended to the existing major policy framework, then such matters to be considered by full County Council.
  - r) The existing policy target for the minimum level of the General Working Balance be retained at £27m in line with paragraphs 3.6.4 to 3.6.5 and Appendix E.
  - s) The attached pay policy statement (Appendix H) covering the period 1 April 2020 to 31 March 2021 be approved as set out in Section 6.
2. That County Council note and agree the delegation arrangements referred to in Section 10 that authorise the Corporate Directors to implement the Budget

proposals contained in the report for their respective service areas, and for the Chief Executive in those areas where there are cross-Council proposals

3. That County Council have regard to the Public Sector Equality Duty (identified in Section 7 and Appendix I) in approving the Budget proposals contained in the report.

### 3.4 Capital Five Year Spending Plan

This item was considered at Executive on 4 February 2020, where Executive was asked to recommend to County Council for approval the Capital Plan Five Year Spending Plan.

A full copy of the report is available in the Appendices Booklet, section 9.1B pages 167 to 182.

#### **The Executive RECOMMENDS:**

That County Council approve the Capital Plan Five Year Spending Plan, which includes:

- a) The refreshed Capital Plan summarised at paragraph 3.4 be approved
- b) No action be taken at this stage to allocate any additional capital resources (paragraph 6.7); and
- c) The Assistant Chief Executive Legal and Democratic Services be authorised to issue the certificate under the Local Government (Contracts) Act 1997 to confirm the County Council's powers to enter into the Deed of Variation in respect of the proposed expansion of Barlby Community Primary School and that an indemnity be given by the County Council to the Assistant Chief Executive Legal and Democratic Services, against any claim that may arise out of or in connection with the issue of the certificate under the Local Government (Contracts) Act 1997 as outlined at paragraph 3.12.

### 3.5 Treasury Management Strategy 2020/21

This item was considered at Executive on 4 February 2020, where Executive was asked to recommend to County Council for approval the Treasury Management Strategy 2020/21.

A full copy of the report is available in the Appendices Booklet, section 9.1C pages 183 to 243.

#### **The Executive RECOMMENDS:**

That County Council approve the Treasury Management Strategy 2020/21, which includes:

- a) The Treasury Management Strategy at Annex 1, including:
- b) The Capital Prudential Indicators (Appendix A), Borrowing Strategy and Treasury Prudential Indicators (Appendix B) and Annual Investment Strategy 2020/21 (Appendix C), and in particular:

- i. an authorised limit for external debt of £576m in 2020/21;
  - ii. an operational boundary for external debt of £556m in 2020/21;
  - iii. the Prudential and Treasury Indicators for 2020/21 to 2022/23;
  - iv. a limit of £40m of the total cash sums available for investment (both in house and externally managed) to be invested in Non-Specified Investments over 365 days;
  - v. a 10% cap on capital financing costs as a proportion of the annual Net Revenue Budget;
  - vi. a Minimum Revenue Provision (MRP) policy for debt repayment to be charged to Revenue in 2020/21;
  - vii. the Corporate Director – Strategic Resources to report to the County Council if and when necessary during the year on any changes to this Strategy arising from the use of operational leasing, PFI or other innovative methods of funding not previously approved by the County Council;
- c) The Capital Strategy at Appendix D.
- d) The Treasury Management Policy Statement as attached as Schedule 1;
- e) That the Audit Committee be invited to review Annex 1 including Appendices A to D and Schedules 1 to 6 and submit any proposals to the Executive for consideration at the earliest opportunity.

### 3.6 Council Plan 2020-2024

This item was considered at Executive on 4 February 2020, where Executive was asked to recommend to County Council for approval the draft Council Plan and that the County Council authorise the Chief Executive to make any necessary changes to the text, including reflecting decisions made by the County Council on the budget, Medium Term Financial Strategy and updated performance data.

A copy of the County Council Plan report is available in the Appendices Booklet, section 9.2 pages 244 to 273.

#### **The Executive RECOMMENDS:**

That County Council approve the draft Council Plan and authorises the Chief Executive to make any necessary changes to the text, including reflecting decisions made by the County Council on the budget, Medium Term Financial Strategy and updated performance data.

### 3.7 Admissions Policy 2021-22

This item was considered at Executive on 4 February 2020, where Executive was asked to recommend to County Council for approval the proposed admission arrangements for Community and Voluntary Controlled Schools for the school year 2021/22.

A copy of the Admission Policy 2021-22 report is available in the Appendices Booklet,

section 9.3 pages 274 to 344.

**The Executive RECOMMENDS:**

That County Council approve the proposed admission arrangements for Community and Voluntary Controlled Schools for the school year 2021/22, which include:

- The proposed admission policy for community and voluntary controlled schools; and
- The proposed admission policy for nursery schools, schools with nursery classes and pre-reception classes, appendices 1 & 2.
- The proposed co-ordinated admission arrangements appendix 3
- The proposed In-Year Fair Access Protocol appendix 4
- The proposed published admission numbers (PAN's) for community and voluntary controlled schools as shown in appendices 5 (Primary) and 6 (Secondary)
- Catchment area Admiral Long CE Voluntary Controlled Primary School and Ripley Endowed CE Voluntary Controlled School appendix 7
- Catchment area Malton School appendix 8.

3.8 **Consideration of Proposed Amendments to the Council's Constitution**

This item was considered at Executive on 14 January 2020. It was recommended that the proposed changes to the Constitution be approved at the meeting of County Council on 19 February 2020.

A copy of the report on Proposed Amendments to the Council's Constitution is available in the Appendices Booklet, section 9.4 pages 345 to 360.

**The Executive RECOMMENDS:**

That County Council approve the proposed changes to the Constitution outlined in:

- the Amendments Chart at Appendix 1
- Appendices 2 and 4
- the Officers' Delegation Scheme and consequential amendments to the Executive Members' Delegation Scheme as set out in Appendix 3.

3.9 **Appointments to Committee and Other Bodies**

The following appointments to the North Yorkshire Health and Wellbeing Board are for County Council to note:

The Executive met on 26 November 2019 and approved the appointment of Rachel Pippin of the Yorkshire Ambulance Service as the Emergency Services representative on the Board.

The Executive met on 14 January 2020 and approved the following appointments to the Board:

- a. Steve Russell, Chief Executive of Harrogate and District NHS Foundation Trust as the representative of Acute and Community Hospitals
- b. Fiona Bell-Morrith, Lead Officer, Primary Care, as the nominated substitute for Phil Mettam, Accountable Officer, Vale of York CCG
- c. Wendy Balmain, Director of Strategy and Integration, as the nominated substitute of Amanda Bloor, Accountable Officer for the North Yorkshire CCGs.

**The Executive RECOMMENDS:**

That County Council notes the appointments to the North Yorkshire Health and Wellbeing Board.

CARL LES  
Chairman  
County Hall  
Northallerton

10 February 2020