

## **Agenda**

### **Notice of a public meeting of            Audit Committee (Informal)**

**To:**            **Councillors Cliff Lunn (Chair), Karl Arthur, Margaret Atkinson (Vice-Chair), Robert Baker, Philip Broadbank, Jim Clark, David Hugill, Don MacKay, Mr Nick Grubb, Mr David Marsh and Mr David Portlock.**

**Date:**            **Monday, 25th October, 2021**

**Time:**            **1.30 pm**

**Venue:**            **Remote meeting held via Microsoft Teams**

Under his delegated decision making powers in the Officers' Delegation Scheme in the Council's Constitution, the Chief Executive Officer has power, in cases of emergency, to take any decision which could be taken by the Council, the Executive or a committee. Following on from the expiry of the Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020, which allowed for committee meetings to be held remotely, the County Council resolved at its meeting on 21 July 2021 that, for the present time, in light of the continuing Covid-19 pandemic circumstances, remote live-broadcast committee meetings should continue (as informal meetings of the Committee Members), with any formal decisions required being taken by the Chief Executive Officer under his emergency decision making powers and after consultation with other Officers and Members as appropriate and after taking into account any views of the relevant Committee Members. This approach will be reviewed in November 2021.

The meeting will be available to view once the meeting commences, via the following link - [www.northyorks.gov.uk/livemeetings](http://www.northyorks.gov.uk/livemeetings) Recordings of previous live broadcast meetings are also available there.

### **Business**

- 1. Apologies for Absence**
- 2. Minutes of the informal meeting of the Committee held on 20 September 2021** (Pages 5 - 10)
- 3. Declarations of Interest**
- 4. Public Questions or Statements**  
Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Ruth Gladstone of Democratic Services (contact details at the foot of page 1) by midday on Wednesday 20

Enquiries relating to this agenda please contact Ruth Gladstone Tel: 01609 532555  
or e-mail [ruth.gladstone@northyorks.gov.uk](mailto:ruth.gladstone@northyorks.gov.uk)  
Website: [www.northyorks.gov.uk](http://www.northyorks.gov.uk)

October. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- At this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes).
- When the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

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|--------------|--|--------------------------|
| <b>5.</b>    | <b>Progress on Issues Raised by the Committee</b>  | <b>(Pages 11 - 12)</b>   |
|              | Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services) |                          |
| <b>6(a)</b>  | <b>2020/21 External Audit of the North Yorkshire Pension Fund</b>  | <b>(To Follow)</b>       |
|              | Report of Deloitte   |                          |
| <b>6(b)</b>  | <b>2020/21 External Audit of North Yorkshire County Council</b>  | <b>(To Follow)</b>       |
|              | Report of Deloitte   |                          |
| <b>7.</b>    | <b>Report Following the Detailed Review of the draft Statement of Final Accounts for 2020/21</b>                               | <b>(Pages 13 - 16)</b>   |
|              | Report of the Members' Working Group   |                          |
| <b>8.</b>    | <b>Statement of Final Accounts for 2020/21 including Letter of Representation</b>  | <b>(Pages 17 - 226)</b>  |
|              | Report of the Corporate Director – Strategic Resources   |                          |
| <b>9.</b>    | <b>Annual Report of the Audit Committee</b>  | <b>(Pages 227 - 236)</b> |
|              | Report of the Chair of the Audit Committee   |                          |
| <b>10(a)</b> | <b>Business and Environmental Services Directorate - Internal Audit Work</b>   | <b>(Pages 237 - 246)</b> |
|              | Report of the Head of Internal Audit   |                          |
| <b>10(b)</b> | <b>Business and Environmental Services Directorate - Internal Control Matters</b>  | <b>(Pages 247 - 268)</b> |
|              | Report of the Corporate Director – Business and Environmental Services   |                          |
| <b>11.</b>   | <b>Counter Fraud Policy Framework</b>  | <b>(Pages 269 - 322)</b> |
|              | Report of the Head of Internal Audit   |                          |
| <b>12.</b>   | <b>Business Continuity - Update Report</b>   | <b>(Pages 323 - 328)</b> |
|              | Report of the Head of Resilience and Emergencies   |                          |
| <b>13.</b>   | <b>Audit Committee Programme of Work 2020/21</b>   | <b>(Pages 329 - 330)</b> |
|              | Report of the Corporate Director – Strategic Resources   |                          |
| <b>14.</b>   | <b>Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances</b>   |                          |

Barry Khan  
Assistant Chief Executive  
(Legal and Democratic Services)

County Hall  
Northallerton

Friday, 15 October 2021