



## Agenda

**Notice of a public meeting of Community Development  
Directorate - Executive Members  
and Corporate Director Meetings**

**To: Councillors Bastiman and Simon Myers.**

**Date: Wednesday, 11th October, 2023**

**Time: 9.00 am**

**Venue:**

Under his delegated decision making powers in the Officers' Delegation Scheme in the Council's Constitution, the Chief Executive Officer has power, in cases of emergency, to take any decision which could be taken by the Council, the Executive or a committee. Following on from the expiry of the Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020, which allowed for committee meetings to be held remotely, the County Council resolved at its meeting on 21 July 2021 that, for the present time, in light of the continuing Covid-19 pandemic circumstances, remote live-broadcast committee meetings should continue (as informal meetings of the Committee Members), with any formal decisions required being taken by the Chief Executive Officer under his emergency decision making powers and after consultation with other Officers and Members as appropriate and after taking into account any views of the relevant Committee Members.

The meeting will be available to view once the meeting commences, via the following link - [www.northyorks.gov.uk/livemeetings](http://www.northyorks.gov.uk/livemeetings) Recordings of previous live broadcast meetings are also available there.

### **Business**

**1. Declarations of Interest**

**Items for decision by the Executive Member for Open to Business**

2. Grant Acceptance - York & North Yorkshire Local Enterprise Partnership Core Funding  
(Pages 3 - 14)
3. Grant Acceptance - York & North Yorkshire Made Smarter Business Support Programme  
(Pages 15 - 22)
4. NYC Self-Build and Custom Housebuilding Register: Consultation on Introducing Eligibility Tests and Fees (Pages 23 - 36)

Enquiries relating to this agenda please contact [marie.woodhouse@northyorks.gov.uk](mailto:marie.woodhouse@northyorks.gov.uk) Tel:  
or e-mail

Website: [www.northyorks.gov.uk](http://www.northyorks.gov.uk)

### **Items for decision by the Executive Member for Culture, Arts & Housing**

5. Submission of application to Sport England Swimming Pool Support Fund, Phase 2  
(Pages 37 - 54)

### **Items for Corporate Director Decision**

6. Any Other Items of Business
7. Date of Next Meeting

Barry Khan  
Assistant Chief Executive  
(Legal and Democratic Services)

County Hall  
Northallerton

Date Not Specified

## North Yorkshire Council

### Executive Member Meeting

Wednesday 11 October 2023

## Grant Acceptance –York & North Yorkshire Local Enterprise Partnership Core Funding

### Report of the Corporate Director Community Development

#### 1.0 PURPOSE OF REPORT

1.1 To recommend that the Executive Member for Open to Business following consultation with the Corporate Director of Community Development, the Corporate Director - Resources and the Assistant Chief Executive (Legal and Democratic Services) authorises the acceptance of the grant of £250,000 from the Department of Levelling Up, Housing and Communities, for York & North Yorkshire Local Enterprise Partnership Core Funding.

#### 2.0 BACKGROUND

2.1 The York and North Yorkshire Local Enterprise Partnership (YNY LEP) have in previous years delivered services across the York and North Yorkshire area fulfilling the required duties with financial support from the Department for Levelling Up, Housing and Communities (DLUHC). YNY LEP act via their accountable body the North Yorkshire Council (NYC).

#### 3.0 CURRENT ISSUE

3.1 For 2023/2024 financial year, the offer of annual grant funding has been made in the sum of £250,000 from the DLUHC for delivery of the York and North Yorkshire Local Enterprise Partnership (Appendix A).

#### 4.0 CONTRIBUTION TO COUNCIL PRIORITIES

4.1 The York and North Yorkshire Growth Hub business support services which will be enabled by the grant from DLUHC will contribute towards:

##### **Economy**

- economically sustainable growth that enables people and places to prosper
- new and existing businesses can thrive and grow
- North Yorkshire has a high profile, is influential nationally and receives its fair share of resources

#### 5.0 ALTERNATIVE OPTIONS CONSIDERED

5.1 The alternative would be to decline the grant, which would result in being unable to provide the level of service expected by Government, through the DLUHC approach to delivery of Mayoral Combined Authorities/Local Enterprise Partnerships.

## **6.0 FINANCIAL IMPLICATIONS**

- 6.1 The total grant funding offer is £250,000 from DLUHC for delivery of the York and North Yorkshire Local Enterprise Partnership. This is a grant provided under Section 31 of the Local Government Act 2003, and as such the grant does not have specific Terms and Conditions, but the Assurance Framework requirements for Local Enterprise Partnerships set out by DLUHC must be met. A copy of the DLUHC Determination No. 31/6726 is provided at Appendix B). A performance review is carried out twice a year by DLUHC.
- 6.2 The grant will be payable to NYC, as the accountable body for the YNY LEP. YNY LEP will administer monitoring and reporting of activities supported by the grant and will ensure compliance with NYC Finance and Legal requirements.
- 6.3 The level of financial risk is low. The service has been established and operating in the same way for several years therefore systems and processes are already in place to utilise the grant for the delivery of services provided by YNY LEP.

## **7.0 LEGAL IMPLICATIONS**

- 7.1 The grant enables the required services to be provided by YNY LEP via its accountable body NYC. There are no specific terms and conditions available in respect of the grant. However, services must be delivered in accordance with the National Assurance Framework for LEPs as set out by DLUHC.

## **8.0 EQUALITIES IMPLICATIONS**

- 8.1 An Equalities Impact Assessment has been completed (Appendix C). No equalities implications have been identified.

## **9.0 CLIMATE CHANGE IMPLICATIONS**

- 9.1 A Climate Change Impact Assessment has been completed (Appendix D) and no climate change implications have been identified. It should be noted that there may be some indirect positive effects enabled by the activities of the YNY LEP particularly through the delivery of the Route Map to Carbon Negative for York and North Yorkshire.

## **10.0 REASONS FOR RECOMMENDATIONS**

- 10.1 The recommendation for acceptance of the grant from Department for Levelling Up Housing and Communities will enable the Yorkshire and North Yorkshire Local Enterprise Partnership through its accountable body NYC to continue provision of services during 2023/2024 across York and North Yorkshire.

## **11.0 RECOMMENDATION(S)**

That the Executive Member for Open to Business following consultation with the Corporate Director of Community Development, the Corporate Director - Resources and the Assistant Chief Executive (Legal and Democratic Services) authorises the acceptance of the grant of £250,000 from the Department for Levelling Up Housing

and Communities, for York & North Yorkshire Local Enterprise Partnership Core Funding.

**APPENDICES:**

Appendix A – Core Funding Offer Letter 2023-2024  
Appendix B – Section 31 Determination Letter 2023-2024  
Appendix C - Equalities Impact Assessment  
Appendix D - Climate Change Impact Assessment

**BACKGROUND DOCUMENTS:**

NONE

Nic Harne  
Corporate Director – Community Development  
County Hall  
Northallerton  
29 September 2023

Report Author – Liz Philpot, Head of Delivery  
Presenter of Report – Liz Philpot, Head of Delivery

Note: Members are invited to contact the author in advance of the meeting with any detailed queries or questions.

***PLEASE ALSO NOTE THAT IF ANY REPORTS / APPENDICES INCLUDE SIGNATURES THESE MUST BE REMOVED / DELETED PRIOR TO SENDING REPORTS / APPENDICES TO DEMOCRATIC SERVICES. Appendices should include an Equality Impact Assessment and a Climate Impact Assessment where appropriate***



Department for Levelling Up,  
Housing & Communities

James Farrar  
York and North Yorkshire LEP  
North Yorkshire County Council

By email

16 June 2023

Dear James,

**Local Enterprise Partnership (LEP) core funding 2023-24**

Thank you for submitting your 2023-24 LEP core funding application forms. Following a review of these applications, I am pleased to confirm that 2023-24 core funding payments of £250,000 per LEP or host Mayoral Combined Authority or county council will be made on or around 19 June 2023.

As set out in the attached Grant Determination letter, payments will be made via Section 31 grant to the relevant Accountable Body. The LEP Network will also receive a funding allocation of £78,000 for 2023-24 at the same time.

I am copying this letter to your Accountable Body S151/S73 Officer and your Cities and Local Growth Unit Area Lead.

Kind regards,

**Dharmesh Nayee**

Place Director and Senior Responsible Officer (SRO), Department for Levelling Up,  
Housing and Communities

## **Local Enterprise Partnership (LEP) core funding GRANT DETERMINATION (2023/24): No 31/6726**

The Minister of State for Levelling Up, Housing and Communities (“the Minister of State”), in exercise of the powers conferred by section 31 of the Local Government Act 2003, makes the following determination:

### **Citation**

1) This determination may be cited as the Local Enterprise Partnership (LEP) core funding Determination (2023/24) [No31/6726].

### **Purpose of the grant**

2) The purpose of the grant is to provide support to local authorities in England towards expenditure lawfully incurred or to be incurred by them.

### **Determination**

3) The Minister of State determines as the authorities to which grant is to be paid and the amount of grant to be paid, the authorities and the amounts set out in Annex A.

### **Treasury consent**

4) Before making this determination in relation to local authorities in England, the Minister of State obtained the consent of the Treasury.

### **UK Government Branding**

5) The Grant Recipient shall at all times during and following the end of the Funding Period:

5.1 comply with requirements of the Branding Manual in relation to the Funded Activities; and

5.2 cease use of the Funded by UK Government logo on demand if directed to do so by the Minister of State.

6) **Branding Manual** means the HM Government of the United Kingdom of Great Britain and Northern Ireland ‘Funded by UK Government branding manual’ first published by the Cabinet Office in November 2022 and is available at <https://gcs.civilservice.gov.uk/guidance/marketing/branding-guidelines/> including any subsequent updates from time to time.

Signed by authority of the Minister of State for Levelling Up, Housing and Communities



Dharmesh Nayee, Place Director, Department for Levelling Up, Housing and Communities

19 June 2023

## ANNEX A

### Authorities to which grant is to be paid

### Amount of grant to be paid

Brighton and Hove City Council	£250,000.00
Buckinghamshire Council	£328,000.00
Cheshire East UA	£250,000.00
Cornwall County UA	£250,000.00
Cumbria County Council	£250,000.00
Derbyshire County Council	£250,000.00
Dorset Council UA	£250,000.00
Essex County Council	£250,000.00
Gloucestershire County Council	£250,000.00
Greater London Authority	£250,000.00
Greater Manchester Combined Authority	£250,000.00
Hampshire County Council	£250,000.00
Hertfordshire County Council	£250,000.00
Hull City Council	£250,000.00
Lancashire County Council	£250,000.00
Leicester City Council	£250,000.00
Lincolnshire County Council	£250,000.00
Liverpool City Region Combined Authority	£250,000.00
Luton Borough Council	£250,000.00
North of Tyne Combined Authority	£250,000.00
North Yorkshire County Council	£250,000.00
Oxfordshire County Council	£250,000.00
Portsmouth City Council	£250,000.00
Sheffield City Region Combined Authority	£250,000.00
Shropshire Council UA	£250,000.00
Somerset County Council	£250,000.00
Staffordshire County Council	£250,000.00
Suffolk County Council	£250,000.00
Tees Valley Combined Authority	£250,000.00
Warwickshire County Council	£250,000.00
West Midlands Combined Authority	£250,000.00



West of England Combined Authority	£250,000.00
West Yorkshire Combined Authority	£250,000.00
Wiltshire County UA	£250,000.00
Windsor and Maidenhead Royal Borough Council	£250,000.00
Worcestershire County Council	£250,000.00

## APPENDIX C

<b>Initial equality impact assessment screening form</b>			
<p><b>This form records an equality screening process to determine the relevance of equality to a proposal, and a decision whether or not a full EIA would be appropriate or proportionate.</b></p>			
<b>Directorate</b>	Community Development Directorate		
<b>Service area</b>	The York and North Yorkshire Local Enterprise Partnership (YNY LEP)		
<b>Proposal being screened</b>	Recommendation of grant acceptance - for funding from Government Department to York and North Yorkshire Local Enterprise Partnership		
<b>Officer(s) carrying out screening</b>	Liz Philpot/Paul Clark		
<b>What are you proposing to do?</b>	This screening concerns the YNY LEP offer of Dept of Levelling Up Housing and Communities funding to support annual delivery (April 2023 to March 2024) of the York and North Yorkshire LEP which will enable a range of local economic development services.		
<b>Why are you proposing this? What are the desired outcomes?</b>	This will support priorities identified in the YNY LEP published <a href="#">'Covid-19 A Plan to Reshape our Economy plan'</a> published in October 2020; also the YNY LEP Plan for Growth and research published March 2022 on Sector Analysis of the York and North Yorkshire local economy.		
<b>Does the proposal involve a significant commitment or removal of resources?</b> Please give details.	N/A		
<p><b>Impact on people with any of the following protected characteristics as defined by the Equality Act 2010, or NYCC's additional agreed characteristics</b></p> <p>As part of this assessment, please consider the following questions:</p> <ul style="list-style-type: none"> <li>• To what extent is this service used by particular groups of people with protected characteristics?</li> <li>• Does the proposal relate to functions that previous consultation has identified as important?</li> <li>• Do different groups have different needs or experiences in the area the proposal relates to?</li> </ul> <p>All programmes will promote equality and diversity through the support provided and offer appropriate, timely and impartial information, advice and guidance. In delivering the programmes, a range of measures will be put in place that will prevent any unlawful discrimination relating to discrimination (whether in race, gender, religion, disability, sexual orientation, age or otherwise) in employment.</p> <p><b>If for any characteristic it is considered that there is likely to be an adverse impact or you have ticked 'Don't know/no info available', then a full EIA should be carried out where this is proportionate. You are advised to speak to your <a href="#">Equality rep</a> for advice if you are in any doubt.</b></p>			
Protected characteristic	Potential for adverse impact		Don't know/No info available
	No	No	
Age		✓	
Disability		✓	
Sex		✓	
Race		✓	
Sexual orientation		✓	
Gender reassignment		✓	
Religion or belief		✓	

Pregnancy or maternity		✓	
Marriage or civil partnership		✓	
<b>NYCC additional characteristics</b>			
People in rural areas		✓	
People on a low income		✓	
Carer (unpaid family or friend)			N/A
<b>Does the proposal relate to an area where there are known inequalities/probable impacts</b> (e.g. disabled people's access to public transport)? Please give details.	NO		
<b>Will the proposal have a significant effect on how other organisations operate? (e.g. partners, funding criteria, etc.). Do any of these organisations support people with protected characteristics?</b> Please explain why you have reached this conclusion.	Programmes may be delivered in partnership with a range of external delivery partners. These organisations will ensure that all activities will be fully accessible and inclusive to all learners thus ensuring equal access to support, in accordance with the Equality Act 2010 and NYCC Equality and Diversity policies.		
<b>Decision (Please tick one option)</b>	EIA not relevant or proportionate:	✓	Continue to full EIA:
<b>Reason for decision</b>	<p>There are no equality impacts as all activities will be fully accessible and inclusive to all relevant target groups ensuring equal access to support, in accordance with the Equality Act 2010 and NYCC Equality and Diversity policies.</p> <p>The Local Enterprise Partnership operates in accordance with the National Local Growth Assurance Framework, and its own Local Assurance Framework, which contains a Diversity Statement setting out its commitments to fairness and inclusivity in its procurement and partnership arrangements.</p> <p>Screening exercises will continue throughout the project and where necessary and proportionate, an Equality Impact Assessment will be undertaken.</p>		
<b>Signed (Assistant Director or equivalent)</b>	James Farrar		
<b>Date</b>	29 Sept 2023		

# APPENDIX D

## Initial Climate Change Impact Assessment (Form created August 2021)

The intention of this document is to help the council to gain an initial understanding of the impact of a project or decision on the environment. This document should be completed in consultation with the supporting guidance. Dependent on this initial assessment you may need to go on to complete a full Climate Change Impact Assessment. The final document will be published as part of the decision-making process.

If you have any additional queries, which are not covered by the guidance please email [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk)

<b>Title of proposal</b>	Recommendation of grant acceptance - for funding from Government Department to York and North Yorkshire Local Enterprise Partnership
<b>Brief description of proposal</b>	<p>This Climate Change Impact Assessment concerns the YNY LEP offer of Dept of Levelling Up Housing and Communities funding to support annual delivery (April 2023 to March 2024) of the Local Enterprise Partnership service in York and North Yorkshire.</p> <p>The funded programme will enable YNY LEP to offer a range of economic development services.</p>
<b>Directorate</b>	Community Development Directorate
<b>Service area</b>	York and North Yorkshire Local Enterprise Partnership
<b>Lead officer</b>	Liz Philpot, Head of Delivery
<b>Names and roles of other people involved in carrying out the impact assessment</b>	Paul Clark, Governance and Assurance Manager

The chart below contains the main environmental factors to consider in your initial assessment – choose the appropriate option from the drop-down list for each one.

Remember to think about the following;

- Travel
- Construction
- Data storage
- Use of buildings
- Change of land use
- Opportunities for recycling and reuse

<b>Environmental factor to consider</b>	<b>For the council</b>	<b>For the county</b>	<b>Overall</b>
Greenhouse gas emissions	No effect on emissions	No Effect on emissions	No effect on emissions
Waste	No effect on waste	No effect on waste	No effect on waste
Water use	No effect on water usage	No effect on water usage	No effect on water usage
Pollution (air, land, water, noise, light)	No effect on pollution	No effect on pollution	No effect on pollution
Resilience to adverse weather/climate events (flooding, drought etc)	No effect on resilience	No effect on resilience	No effect on resilience
Ecological effects (biodiversity, loss of habitat etc)	No effect on ecology	No effect on ecology	No effect on ecology
Heritage and landscape	No effect on heritage and landscape	No effect on heritage and landscape	No effect on heritage and landscape

If any of these factors are likely to result in a negative or positive environmental impact then a full climate change impact assessment will be required. It is important that we capture information about both positive and negative impacts to aid the council in calculating its carbon footprint and environmental impact.

<b>Decision (Please tick one option)</b>	Full CCIA not relevant or proportionate:	✓	Continue to full CCIA:	(Not required)
<b>Reason for decision</b>	<p style="text-align: center;">All environmental factors are considered to be at least neutral.</p> <p>The <a href="#">Routemap to Carbon Negative for York and North Yorkshire</a> provides a strategy framework within which significant positive impacts may be possible.</p> <p>NB the Growth Hub business support programme may have some positive effect on environmental factors where support is provided through use of the <a href="#">YNY LEP Net Zero Business Toolkit</a> (currently available as a web-based resource)</p>			
<b>Signed (Assistant Director or equivalent)</b>	James Farrar			
<b>Date</b>	29 September 2023			

## North Yorkshire Council

### Executive Member Meeting

Wednesday 11 October 2023

## Grant Acceptance –York & North Yorkshire Made Smarter Business Support Programme

### Report of the Corporate Director Community Development

#### 1.0 PURPOSE OF REPORT

- 1.1 To recommend that the Executive Member for Open to Business following consultation with the Corporate Director of Community Development, the Corporate Director - Resources and the Assistant Chief Executive (Legal and Democratic Services) authorises the acceptance of the grant of £208,860 from South Yorkshire Mayoral Combined Authority (funded by Department for Business and Trade).

#### 2.0 BACKGROUND

- 2.1 The York and North Yorkshire Local Enterprise Partnership (YNY LEP) delivers the free Growth Hub business support service across the York and North Yorkshire area on behalf of the Department for Business and Trade (formerly Business, Energy and Industrial Strategy – BEIS). YNY LEP act via their accountable body North Yorkshire Council (NYC).
- 2.2 In addition to the basic Growth Hub Business Support service delivered by the YNY LEP Growth Hub this funding provides the opportunity to deliver a specialist business support programme [Made Smarter](#), developed by HM Government and designed to help local manufacturing businesses to innovate and create new opportunities and technologies in the process. This aims to support the UK to become a leader in the manufacturing industry and develop the next generation of technology solutions.

#### 3.0 CURRENT ISSUE

- 3.1 As part of the Yorkshire and Humber Growth Hubs cluster for the region, Dept for Business and Trade (DBT) has commissioned South Yorkshire Mayoral Combined Authority (SYMCA) to lead the delivery of the [Made Smarter](#) programme of specialist business support across Yorkshire and the Humber region.
- 3.2 York and North Yorkshire LEP has been offered grant funding in the sum of £208,860 as part of the Yorkshire and Humber delivery programme. The funding offer has been made by SYMCA, for delivery of the York and North Yorkshire Made Smarter business support service, using grant funds from the Department of Business and Trade.
- 3.3 The grant provides funding for a specialist business relationship manager employed within the York and North Yorkshire Growth Hub Business Support Service, and for

a programme of events and marketing activity aimed at engaging with the target businesses that can then be supported by the programme.

3.4 The specialist support provided will help a business to create an effective business plan to ensure they are optimising opportunities for the adoption of technology. There is also a business grant application process offering up to a maximum of £20,000, based on a 50% match contribution towards total costs, for equipment and/or specialist advice to implement projects. However, it should be noted that the business grants do NOT form part of this grant acceptance but are being managed directly between SYMCA and the beneficiary businesses.

3.5 The current grant acceptance amount of £208,860 may be increased in the event that additional funds are secured by SYMCA during the current programme up to March 2025, and if additional funds are offered to extend the programme, the grant acceptance process for the appropriate level of grant will be actioned at that time.

#### **4.0 CONTRIBUTION TO COUNCIL PRIORITIES**

4.1 The York and North Yorkshire Made Smarter business support service which will be enabled by the grant from DBT will contribute towards:

##### **Economy**

- economically sustainable growth that enables people and places to prosper
- new and existing businesses can thrive and grow
- North Yorkshire has a high profile, is influential nationally and receives its fair share of resources

#### **5.0 ALTERNATIVE OPTIONS CONSIDERED**

5.1 The alternative would be to decline the grant, which would result in being unable to participate in this innovative Government funded programme across Yorkshire and the Humber. It would leave York and North Yorkshire businesses at a disadvantage compared to those in neighbouring MCA/LEP areas.

#### **6.0 FINANCIAL IMPLICATIONS**

6.1 The total grant funding offer is £208,890 from South Yorkshire Mayoral Combined Authority, using funding from Department for Business and Trade for delivery of the York and North Yorkshire Made Smarter business support service. As mentioned at Section 3.5, it is possible that additional grant may be made available up to the programme end in March 2025. which would increase the number of businesses that can be supported across York and North Yorkshire. The grant acceptance process for any additional grant will be actioned as necessary.

6.2 The grant is payable to NYC, as the accountable body for the YNY LEP. Payment of grant will be made quarterly in arrears based on evidence of expenditure, which covers staff salary costs and overheads, and a marketing budget. The delivery of the Made Smarter Business Support service for York and North Yorkshire will be cost neutral.

6.3 YNY LEP will administer monitoring and reporting of the grant funded programme activity and will ensure compliance with NYC Finance and Legal requirements.



## **7.0 LEGAL IMPLICATIONS**

7.1 The grant agreement/s proposed by SYMCA have been reviewed by legal services.

## **8.0 EQUALITIES IMPLICATIONS**

8.1 An Equalities Impact Assessment has been completed (Appendix A). No equalities implications have been identified.

## **9.0 CLIMATE CHANGE IMPLICATIONS**

9.1 A Climate Change Impact Assessment has been completed (Appendix B) and no climate change implications have been identified. It should be noted that there may be some indirect positive effects enabled by the business support activities, through working with businesses to help them improve their energy efficiency, reduce energy consumption, and associated costs, and to support them to implement decarbonisation measures contributing to environmental sustainability objectives for delivering the Route Map to Carbon Negative for York and North Yorkshire.

## **10.0 REASONS FOR RECOMMENDATIONS**

10.1 It is recommended that the grant from South Yorkshire Mayoral Combined Authority, funded by Department for Business and Trade is accepted to enable York and North Yorkshire Growth Hub to offer the specialist Made Smarter business support service up to March 2025 for the benefit of businesses across York and North Yorkshire.

### **11.0 RECOMMENDATION(S)**

It is recommended that the Executive Member for Open to Business following consultation with the Corporate Director of Community Development, the Corporate Director - Resources and the Assistant Chief Executive (Legal and Democratic Services) authorises the acceptance of the grant of £208,860 from South Yorkshire Mayoral Combined Authority (funded by Department for Business and Trade).

### **APPENDICES:**

Appendix A – Equalities Impact Assessment  
Appendix B – Climate Change Impact Assessment

### **BACKGROUND DOCUMENTS:**

Nic Harne  
Corporate Director – Community Development  
County Hall  
Northallerton  
29 September 2023

Report Author – Liz Philpot, Head of Delivery  
Presenter of Report – Liz Philpot, Head of Delivery

Note: Members are invited to contact the author in advance of the meeting with any detailed queries or questions.

## APPENDIX A

<b>Initial equality impact assessment screening form</b>			
<b>This form records an equality screening process to determine the relevance of equality to a proposal, and a decision whether or not a full EIA would be appropriate or proportionate.</b>			
<b>Directorate</b>	Community Development Directorate		
<b>Service area</b>	The York and North Yorkshire Local Enterprise Partnership (YNY LEP)		
<b>Proposal being screened</b>	Recommendation of grant acceptance from South Yorkshire MCA (funding from Government Department of Business and Trade) to York and North Yorkshire Local Enterprise Partnership		
<b>Officer(s) carrying out screening</b>	Liz Philpot/Paul Clark		
<b>What are you proposing to do?</b>	This screening concerns the YNY LEP offer of Made Smarter business support funding from Dept of Business and Trade via South Yorkshire MCA as lead delivery partner for Y&H Growth Hub cluster. The funding will support delivery (October 2022 to March 2025) via York and North Yorkshire LEP to provide specialist Made Smarter business support to eligible manufacturing and production processes across York and North Yorkshire.		
<b>Why are you proposing this? What are the desired outcomes?</b>	This will support priorities identified in the YNY LEP published <a href="#">Covid-19 A Plan to Reshape our Economy plan</a> published in October 2020; also the YNY LEP Plan for Growth and research published March 2022 on Sector Analysis of the York and North Yorkshire local economy.		
<b>Does the proposal involve a significant commitment or removal of resources?</b> Please give details.	N/A		
<p><b>Impact on people with any of the following protected characteristics as defined by the Equality Act 2010, or NYCC's additional agreed characteristics</b></p> <p>As part of this assessment, please consider the following questions:</p> <ul style="list-style-type: none"> <li>• To what extent is this service used by particular groups of people with protected characteristics?</li> <li>• Does the proposal relate to functions that previous consultation has identified as important?</li> <li>• Do different groups have different needs or experiences in the area the proposal relates to?</li> </ul> <p>All programmes will promote equality and diversity through the support provided and offer appropriate, timely and impartial information, advice and guidance. In delivering the programmes, a range of measures will be put in place that will prevent any unlawful discrimination relating to discrimination (whether in race, gender, religion, disability, sexual orientation, age or otherwise) in employment.</p> <p><b>If for any characteristic it is considered that there is likely to be an adverse impact or you have ticked 'Don't know/no info available', then a full EIA should be carried out where this is proportionate. You are advised to speak to your <a href="#">Equality rep</a> for advice if you are in any doubt.</b></p>			
Protected characteristic	Potential for adverse impact		Don't know/No info available
	No	No	
Age		✓	
Disability		✓	
Sex		✓	

Race		✓	
Sexual orientation		✓	
Gender reassignment		✓	
Religion or belief		✓	
Pregnancy or maternity		✓	
Marriage or civil partnership		✓	
<b>NYCC additional characteristics</b>			
People in rural areas		✓	
People on a low income		✓	
Carer (unpaid family or friend)			N/A
<b>Does the proposal relate to an area where there are known inequalities/probable impacts</b> (e.g. disabled people's access to public transport)? Please give details.	NO		
<b>Will the proposal have a significant effect on how other organisations operate? (e.g. partners, funding criteria, etc.). Do any of these organisations support people with protected characteristics?</b> Please explain why you have reached this conclusion.	The programmes will work with businesses across York and North Yorkshire who are required comply with all relevant legislation.		
<b>Decision (Please tick one option)</b>	EIA not relevant or proportionate:	✓	Continue to full EIA:
<b>Reason for decision</b>	<p>There are no equality impacts as all activities will be fully accessible and inclusive to all relevant target groups ensuring equal access to support, in accordance with the Equality Act 2010 and NYCC Equality and Diversity policies.</p> <p>The Local Enterprise Partnership operates in accordance with the National Local Growth Assurance Framework, and its own Local Assurance Framework, which contains a Diversity Statement setting out its commitments to fairness and inclusivity in its procurement and partnership arrangements.</p> <p>Screening exercises will continue throughout the project and where necessary and proportionate, an Equality Impact Assessment will be undertaken.</p>		
<b>Signed (Assistant Director or equivalent)</b>	James Farrar		
<b>Date</b>	29 Sept 2023		

## APPENDIX B

### Initial Climate Change Impact Assessment (Form created August 2021)

The intention of this document is to help the council to gain an initial understanding of the impact of a project or decision on the environment. This document should be completed in consultation with the supporting guidance. Dependent on this initial assessment you may need to go on to complete a full Climate Change Impact Assessment. The final document will be published as part of the decision-making process.

If you have any additional queries, which are not covered by the guidance please email [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk)

<b>Title of proposal</b>	Recommendation of grant acceptance from South Yorkshire MCA (funding from Government Department of Business and Trade) to York and North Yorkshire Local Enterprise Partnership
<b>Brief description of proposal</b>	This screening concerns the YNY LEP offer of Made Smarter business support funding from Dept of Business and Trade via South Yorkshire MCA as lead delivery partner for Y&H Growth Hub cluster. The funding will support delivery (October 2022 to March 2025) via York and North Yorkshire LEP to provide specialist Made Smarter business support to eligible manufacturing and production processes across York and North Yorkshire.
<b>Directorate</b>	Community Development Directorate
<b>Service area</b>	York and North Yorkshire Local Enterprise Partnership
<b>Lead officer</b>	Liz Philpot, Head of Delivery
<b>Names and roles of other people involved in carrying out the impact assessment</b>	Paul Clark, Governance and Assurance Manager

The chart below contains the main environmental factors to consider in your initial assessment – choose the appropriate option from the drop-down list for each one.

Remember to think about the following;

- Travel
- Construction
- Data storage
- Use of buildings
- Change of land use
- Opportunities for recycling and reuse

<b>Environmental factor to consider</b>	<b>For the council</b>	<b>For the county</b>	<b>Overall</b>
Greenhouse gas emissions	No effect on emissions	No Effect on emissions	No effect on emissions
Waste	No effect on waste	No effect on waste	No effect on waste
Water use	No effect on water usage	No effect on water usage	No effect on water usage
Pollution (air, land, water, noise, light)	No effect on pollution	No effect on pollution	No effect on pollution
Resilience to adverse weather/climate events (flooding, drought etc)	No effect on resilience	No effect on resilience	No effect on resilience
Ecological effects (biodiversity, loss of habitat etc)	No effect on ecology	No effect on ecology	No effect on ecology
Heritage and landscape	No effect on heritage and landscape	No effect on heritage and landscape	No effect on heritage and landscape

If any of these factors are likely to result in a negative or positive environmental impact then a full climate change impact assessment will be required. It is important that we capture information about both positive and negative impacts to aid the council in calculating its carbon footprint and environmental impact.

<b>Decision (Please tick one option)</b>	Full CCIA not relevant or proportionate:	✓	Continue to full CCIA:	(Not required)
<b>Reason for decision</b>	<p style="text-align: center;">All environmental factors are considered to be at least neutral.</p> <p>The Made Smarter business support programme may have some positive effect on environmental factors where support enables businesses to invest in improved efficiency equipment and process reducing energy consumption.</p> <p>Businesses can also be offered supported via YNY Growth Hub for support from specialist business support resource for decarbonisation, and through the use of the <a href="#">YNY LEP Net Zero Business Toolkit</a> (currently available as a web-based resource)</p> <p>The <a href="#">Routemap to Carbon Negative for York and North Yorkshire</a> provides a strategy framework within which significant positive impacts may be possible.</p>			
<b>Signed (Assistant Director or equivalent)</b>	James Farrar			
<b>Date</b>	29 September 2023			

## North Yorkshire Council

### Executive Member

11 October 2023

#### North Yorkshire Council Self-Build and Custom Housebuilding Register: Consultation on Introducing Eligibility Tests and Fees Report of the Corporate Director of Community Development.

#### **1.0 PURPOSE OF REPORT**

- 1.1 To agree, for the purpose of targeted consultation, the draft criteria for inclusion on the Self-build and Custom Housebuilding Register for North Yorkshire.

#### **2.0 BACKGROUND**

- 2.1 The Self-build and Custom Housebuilding Act 2015 (as Amended) (thereafter referred to as 'the Act') placed a duty on public authorities to keep a register of individuals (including groups of individuals) and associations who wish to acquire serviced plots to bring forward self and custom build housing. This act placed a duty on public authorities to have regard to those registers in carrying out their planning and other functions including housing, regeneration and disposal of land functions.
- 2.2 The Act and the Self-build and Custom Housebuilding Regulations 2016 (as Amended)(thereafter referred to as 'the Regulations') enables local authorities to include up to two optional local eligibility tests; a local connection test and a financial solvency test. Authorities can apply one or both of these tests only where there is strong justification for doing so. The Act also allows the authority to impose a fee to be placed on the Self-build and Custom Housebuilding register (thereafter referred to as 'the register'). The fee is to reflect the costs of administering and maintaining the register and not exceed what is considered cost recovery.
- 2.3 The Act makes provision for the register to be split into two parts, Part 1 and Part 2. Part 1 is the element that would be subject to any eligibility criteria and/or a fee. The local authority has a duty to provide sufficient plots to meet the identified demand on Part 1 of the register. Part 2 is for individuals or groups who either do not meet the eligibility criteria or, if a fee is required, choose not to pay the fee. Part 2 is to assist in the local authority in determining the overall demand for self-build plots in the authority area for the purposes of longer term planning, housing, land disposal and regeneration but do not count towards demand for the purposes of the Act.
- 2.4 The former district authorities have their own respective registers, however following reorganisation; the council must put in place a consolidated register for the whole of North Yorkshire Council area, excluding the two National Parks. This provides the opportunity to re-consider the eligibility criteria and fees for acceptance onto the register.

#### **3.0 THE SELF-BUILD AND CUSTOM HOUSEBUILDING REGISTER**

##### Creating a New Register

- 3.1 Prior to setting out whether eligibility criteria and fees should be adopted, the report will set out the process for creating a new register. There is no guidance available that sets out the

process for authorities that have gone through reorganisation such as North Yorkshire Council.

3.2 It is not a simple process of combining all of the former registers into a single entity as:

- a) The legacy authorities did not have a singular approach to eligibility criteria or fees (see Table 3.1 below); and
- b) There is an issue of data protection in that the persons formerly registering for one of the self-build registers did so on the basis of seeking plots in the legacy areas. They have not provided their consent to be on a North Yorkshire wide register.

3.3 The table below sets out the position in the legacy areas in terms of the number of entrants on the register, eligibility criteria and fees:

District Area	No of People on Register (Part 1/Part 2)	Local Connection Test (Y/N)	Financial Test (Y/N)	Fee (Y/N)	Fee Value
Craven	122 <sup>1</sup>	N	N	N	N/A
Hambleton	125	N	N	N	N/A
Harrogate	437 <sup>2</sup> /37	Y	Y	Y	£80 (£25 annually)
Richmondshire	35	N	N	N	N/A
Ryedale	118	N	N	N	N/A
Scarborough	0/47	Y	Y	Y	£50 (£25 annually)
Selby	39	N	N	N	N/A

Table 3.1 – Former District Self-Build Registers and Criteria/Fees

It should be noted that only Harrogate and Scarborough split their registers into Part 1 and Part 2.

3.4 For the reasons highlighted above it is therefore proposed to create an entirely new register to cover the North Yorkshire area. This is the full area covered by the council with the exception of the North Yorkshire Moors National Park and the Yorkshire Dales National Park.

3.5 The legacy council officers responsible for the individual registers will in due course write to those persons on their respective registers. Instructions will be provided on how to re-register for the new self-build and custom housebuilding register. If existing entrants do not respond, they will not be placed on the new register but will remain on the legacy registers until such time they are closed down.

3.6 This new register will be set up following the conclusion of the draft consultation and any resulting further changes. Once set up the self-builder will be able to self-serve and input the following required details (not a finalised list):

- Name and address;
- Their interest level in self-build;
- The type of project (eg individual self-build);
- The preferred location(s) for self-build project;
- Size of plot;
- Size of house;

<sup>1</sup> 119 individuals and 3 associations

<sup>2</sup> This combines those on Part 1 with those that were on the single register before it was split.



- When they would like to commence;
- Funding arrangements;

### The Eligibility Criteria and Fees

3.7 The two options for eligibility criteria are the local connection test and a financial solvency test. The introduction of both of these is considered below.

#### *Local Connection Test*

3.8 A local connection test is one where an applicant must demonstrate they have one of a number of connections to the area. Previously this would have been a connection to the areas of Harrogate or Scarborough Borough, however, if we were to pursue such a test now this would have to apply to North Yorkshire as a whole.

3.9 Consideration has been given to whether a local connection test should be applied in North Yorkshire and the recommendation is that it should be. The reasons for this are set out below:

- The risk of not adopting a local eligibility criteria is that persons interested in building a home will be more likely to have their details entered on multiple registers across the region and/or nationally. This would skew demand and potentially result in an over-provision of self-build plots;
- North Yorkshire is a highly desirable place to live, own a second home or retire to, with house prices in some areas in excess of the regional and national averages and subsequently high levels of affordable housing need;
- Areas including Whitby and Filey have high levels of second home and holiday let ownership and a local connection test would go some way to ensure those persons building self-build units are not exacerbating this issue;
- Housing land is a finite resource and the in the first instance the council should seek to meet the needs of the local population.

3.10 It is proposed that a local connection<sup>3</sup> would be established by way of meeting one or more of the following criteria:

- An applicant currently lives in North Yorkshire and has done so for at least three consecutive years;
- An applicant has previously lived in North Yorkshire for a continuous period of three years within the past ten years;
- An applicant is currently employed in North Yorkshire and has been for the past twelve consecutive months<sup>4</sup>
- An applicant is currently self-employed, with an ongoing viable venture where work is within North Yorkshire, and has been for the past 12 consecutive months<sup>5</sup>
- An applicant has close family living in North Yorkshire, who have done so for at least five years, e.g. children, parents, brothers and sisters only.

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<sup>3</sup> A local connection test must include provision that any person in the service of the regular armed forces of the Crown is deemed to satisfy the test whilst in service and for a period after leaving service equal to the length of the longest of any periods required by the test for a condition to be satisfied. In this case, that is five years.

<sup>4</sup> Employment must be more than 16 hours per week and where working hours fluctuate; an average will be taken over 12 months.

<sup>5</sup> Self-employment must be more than 16 hours per week and where working hours fluctuate; an average will be taken over 12 months

- 3.11 As set out in the Regulations, an exception is made for those who are currently serving in the armed forces of the Crown or who were serving in the armed forces of the Crown at any time in the five years preceding their application to the self-build and custom housebuilding register.

#### *Financial Solvency Test*

- 3.12 In addition to a local connection test, the Act allows the introduction of measures to assess whether a person applying to be on the register has the financial ability to purchase land. Whilst the Act suggested this would also include the ability to pay for the construction of the house, the Regulations subsequently only referred to the purchasing of a plot. As such any financial solvency test would have to be limited to just the purchasing of the land itself.
- 3.13 Whilst an argument could be made to introduce such a requirement, it does bring with it the complication of handling and checking sensitive financial data; loan details, bank accounts, etc. This brings in a host of data protection matters and the manner in which such data is handled and disposed of. Typically, the number of entrants on Part 1 when eligibility criteria are brought in (as seen with Harrogate and Scarborough Borough Council registers) is limited and the additional work and risks associated with handling sensitive data is not considered justified in this instance.
- 3.14 This is a matter that can be kept under review and if it was considered that a financial solvency criterion was required later, this could be actioned.

#### *Charging a Fee*

- 3.15 The Regulations allow Authorities to charge a fee for entry onto the register and a separate annual fee for remaining on the register. Of the legacy authorities, both Harrogate Borough and Scarborough Borough opted to introduce a fee. These were £80 and £50 for entry onto the register with a £25 subsequent annual fee thereafter. The other authorities did not introduce a fee.
- 3.16 It remains the opinion of officers that the adoption of a modest charge to recover reasonable costs is appropriate. These costs to the Council will include determining applications for entry onto the register, maintaining the register and corresponding with entrants on the register.
- 3.17 By being entered onto Part 1 the council take on the role of seeking to deliver sufficient self-build plots to meet identified demand – this does not mean that the persons on the register will necessarily receive a plot but numerically the council must seek to deliver sufficient plots to meet demand.
- 3.18 The council propose to provide all entrants on Part 1 a list of potential opportunities for self-build taken from the up to date housing monitoring list on at least an annual basis . This will list sites that have permission for one or a small number of units that have not yet commenced that may be opportunities for self-build. It will also provide details of any larger sites that have specific permission for self-build plots within them<sup>6</sup>. It is not a guarantee that such sites will be available but will provide the opportunity for the self-builder to make connections and seek further information.
- 3.19 In terms of setting a fee, the fees set for registers at Harrogate and Scarborough Borough were set a number of years ago. It is therefore appropriate to set a revised fee and consider

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<sup>6</sup> The former Harrogate Borough Council has 2 strategic sites that would deliver self-build plots in accordance with local plan policy that requires 5% self-build plots on schemes of 500 or more dwellings.

whether an annual fee should be brought in. This needs to be a set fee that is used across all of North Yorkshire.

### *Setting an Appropriate Fee*

- 3.20 A fee for entry on the self-build register has been calculated with input from the council's finance officers. This has been based on the following assumptions:
- The approximate time taken to administer each new entrant onto Part 1 of the register will be two hours;
  - A list of potential sites that could be utilised for self-build will be produced annually for across all North Yorkshire Council planning area and this will take an officer circa 10 hours. This will be sent to all entrants on Part 1;
  - At least five new self-builders will be registered on Part 1 of the system each year – meaning that the costs of providing this list are covered annually by new entrants on the register.
- 3.21 This means that on the basis of five new persons registering on Part 1 their fee will covering the cost of two hours of administration and two hours of the 10 hours budgeted for to produce the list of self-build opportunities. Taking into account the hourly rates provided by the Finance Department and any associated on-costs the proposed fee is set at £123 for entry onto the register. This fee will be re-calculated each year taking into account the council's budget setting guidance.
- 3.22 It is proposed not to charge an ongoing annual fee for entrants to be retained on Part 1 at this time. The costs associated with providing the potential list of sites to all entrants should be adequately funded by new entrants added onto Part 1 of the register each year. When this information and accompanying letters are sent out it will ask whether registrants wish to be removed from the register (or moved to Part 2). There is considered minimal work associated with this element of maintaining the register, however, it is proposed to review the resources required to carry out these tasks by 31 October 2025: the date when the base year starts for self-build registers.
- 3.23 The legacy self-build register from Harrogate Borough included a small number of entrants who opted to pay the fee. These entrants were entered onto Part 1<sup>7</sup>. If these entrants opt to move onto the new register for North Yorkshire, it is proposed that they should not be subject to the £123 fee. They have previously paid under the legacy register and it would be unfair for those entrants to be charged again. Notwithstanding this, if they opt not to move across at this time but seek to join later the fee will apply.
- 3.24 Taking into account the above it is therefore proposed to introduce a local connection test and a £123 fee to join the register.

## **4.0 CONSULTATION UNDERTAKEN AND RESPONSES**

- 4.1 Consultation is the next step. The imposing of eligibility criteria and a fee will be subject to a four-week consultation process. It is proposed that this should be a targeted consultation; there is no requirement to consult all persons on the consultation list or the usual statutory consultees that would have no interest in this subject matter.
- 4.2 It is the intention to write to all individuals and groups that are on any of the legacy self-build registers and all Town and Parish Councils. Where a town or parish council does not exist in Harrogate and Scarborough we will consult with Division Members.

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<sup>7</sup> No entrants paid a fee at Scarborough Borough and were all entered on Part 2.

## **5.0 CONTRIBUTION TO COUNCIL PRIORITIES**

5.1 Ensuring that opportunities for self-build housing are made available for the local community accords with the ambition under Places and Environment that seeks to ensure we have:

- Good quality, affordable and sustainable housing that meets the needs of our communities;

5.2 It also accords with the priorities:

- To help maintain housing supply, both market and affordable housing, whilst improving the quality of new homes; and
- To help meet housing needs, including those of vulnerable households and those with specific needs.

5.3 Specific needs can include those persons seeking to build their own home.

## **6.0 ALTERNATIVE OPTIONS CONSIDERED**

6.1 The publishing of a self-build and custom housebuilding register is a legislative requirement under the Self-build and Custom Housebuilding Act 2015 (as Amended). There is therefore no alternative to having a register.

6.2 The alternative options that can be considered are that North Yorkshire Council do not impose any eligibility criteria or adopt an administrative fee. This has been covered in the Section 3, with reasons set out for why the chosen option has been proposed.

## **7.0 IMPACT ON OTHER SERVICES/ORGANISATIONS**

7.1 There are very limited impacts on other services, with the exception of:

- working with the Communications Team to publish a press release; and
- ensuring integration with a payment system for the payment of the upfront fee for inclusion on the register.

## **8.0 FINANCIAL IMPLICATIONS**

8.1 Financial implications are limited in respect of the eligibility criteria and fee. By entering interested parties onto Part 1 of the register, the authority will have to provide updates on the availability of serviced plots. This will be done so through the publication of a list of potential opportunities on a yearly basis. This will be prepared by the planning service within existing resources and the upfront fee will provide cost recovery for the works involved.

## **9.0 LEGAL IMPLICATIONS**

9.1 The maintaining of a register is a legislative requirement under the Self-build and Custom Housebuilding Act 2015 (as Amended). The imposition of eligibility criteria and a fee is optional but legislated for in the aforementioned acts.

## **10.0 EQUALITIES IMPLICATIONS**

10.1 An Equalities Impact Screening assessment has been completed (Appendix 1). This concluded that a full Equalities Impacts Assessment was not required in this instance.

- 10.2 The imposition of eligibility criteria in respect of a local connection test does not discriminate against any group with protected characteristics. Whilst it limits those that can apply to be on the register to those persons residing or with a connection to North Yorkshire this is considered to be justified as set out in paragraph 3.8 onwards.

## **11.0 CLIMATE CHANGE IMPLICATIONS**

- 11.1 The draft decision to be made by this report is solely in relation to imposing a local connection test and a fee for entry onto the register. It is not about the homes that may be provided via the self-build process.
- 11.2 The imposition of a local connection test is considered sustainable, as this will restrict self-builders to those with a connection to North Yorkshire. Without such a criteria, any person or group could apply to be on the register (countrywide) and deliver what could be a second home.
- 11.3 It is therefore not considered to have any significant climate change implications (see Appendix 2: Climate Change Impact Assessment).

## **12.0 ICT IMPLICATIONS**

- 12.1 There are not considered any ICT implications. The register itself will be set up within existing systems with links on the website to direct interested parties.

## **13.0 CONCLUSIONS**

- 13.1 The maintaining of a Self-build and Custom Housebuilding Register is a statutory requirement and introduction of local eligibility clauses and/or a fee at the discretion of the local authority. Following consideration, it is proposed to introduce a local connection test and a fee for being entered onto the register.
- 13.2 It is considered that there are no substantial implications associated with adopting a local connection test or applying a fee.

## **14.0 REASONS FOR RECOMMENDATIONS**

- 14.1 The report sets out the reasons why the local connection test and the fee are recommended. In brief the local connection test is considered essential to ensure that North Yorkshire Council seek to meet local demands for self-build plots and do not inadvertently exacerbate the increasing issues of second home ownership in certain parts of the county.
- 14.2 The reason for introducing a fee is to recover some of the costs associated with maintaining the register and providing updates on potential self-build opportunities.

## **15.0 RECOMMENDATION(S)**

- i) Agree, for the purpose of public consultation, the draft Eligibility Criteria and Fee.

## **APPENDICES:**

- Appendix 1 – The Equality Impact Screening assessment  
Appendix 2 – The Climate Change Impact assessment

**BACKGROUND DOCUMENTS:**

The Self-build and Custom Housebuilding Act 2015 (as Amended)

Nic Harne  
Corporate Director of Community Development  
County Hall  
Northallerton  
5 June 2023

Report Author – Steve Wilson (Planning Policy & Conservation Manager [SCA])  
Presenter of Report – Steve Wilson (Planning Policy & Conservation Manager [SCA])

Note: Members are invited to contact the author in advance of the meeting with any detailed queries or questions.

<b>Initial equality impact assessment screening form</b> This form records an equality screening process to determine the relevance of equality to a proposal, and a decision whether or not a full EIA would be appropriate or proportionate.			
<b>Directorate</b>	Community Development		
<b>Service area</b>	Planning		
<b>Proposal being screened</b>	Consultation on Introducing Eligibility Tests and Fees for Self-build and Custom Housebuilding Register		
<b>Officer(s) carrying out screening</b>	Steve Wilson		
<b>What are you proposing to do?</b>	<p>Preparing the draft criteria for inclusion on the self-build and custom housebuilding register for consultation.</p> <p>This is proposing that a fee is paid to be on Part 1 of the register and that you must meet a local connection test.</p>		
<b>Why are you proposing this? What are the desired outcomes?</b>	<p>We are proposing to consult on the draft criteria and, following any amendments as a result, publish the self-build and custom housebuilding register.</p> <p>The desired outcome is to commence with a new self-build register for North Yorkshire with fair and proportionate criteria.</p>		
<b>Does the proposal involve a significant commitment or removal of resources?</b> Please give details.	<p>The proposal does not remove resources.</p> <p>It continues on and consolidates the former self-build registers of the seven district councils.</p>		
<p><b>Impact on people with any of the following protected characteristics as defined by the Equality Act 2010, or NYC's additional agreed characteristics</b></p> <p>As part of this assessment, please consider the following questions:</p> <ul style="list-style-type: none"> <li>To what extent is this service used by particular groups of people with protected characteristics?</li> <li>Does the proposal relate to functions that previous consultation has identified as important?</li> <li>Do different groups have different needs or experiences in the area the proposal relates to?</li> </ul> <p><b>If for any characteristic it is considered that there is likely to be an adverse impact or you have ticked 'Don't know/no info available', then a full EIA should be carried out where this is proportionate. You are advised to speak to your <a href="#">Equality rep</a> for advice if you are in any doubt.</b></p>			
Protected characteristic	Potential for adverse impact		Don't know/No info available
	Yes	No	
Age		✓	
Disability		✓	
Sex		✓	
Race		✓	
Sexual orientation		✓	
Gender reassignment		✓	
Religion or belief		✓	
Pregnancy or maternity		✓	
Marriage or civil partnership		✓	
People in rural areas		✓	
People on a low income		✓	
Carer (unpaid family or friend)		✓	
Are from the Armed Forces Community		✓	

<b>Does the proposal relate to an area where there are known inequalities/probable impacts</b> (e.g. disabled people's access to public transport)? Please give details.	The proposal relates to setting criteria for entry onto the register and is not impacting on existing inequalities.			
<b>Will the proposal have a significant effect on how other organisations operate?</b> (e.g. partners, funding criteria, etc.). <b>Do any of these organisations support people with protected characteristics?</b> Please explain why you have reached this conclusion.	The proposal will have no effect on how other organisations operate.			
<b>Decision (Please tick one option)</b>	EIA not relevant or proportionate:	✓	Continue to full EIA:	
<b>Reason for decision</b>	<p>This proposal to propose and consult on implementing a fee and a local connection test is based on evidence as set out in the report. The main reason for the local connection test is to restrict opportunities for self-build to residents (or people with a connection to) of North Yorkshire and play a minor part in preventing the proliferation of second homes. Without a local connection test any person could apply to be on the register to seek a self-build plot – potentially as a second home.</p> <p>As such, it is considered that the draft criteria does not require a full Equality Impact Assessment.</p>			
<b>Signed (Assistant Director or equivalent)</b>	Steve Wilson			
<b>Date</b>	07/09/23			



## APPENDIX 2: Initial Climate Change Impact Assessment

The intention of this document is to help the council to gain an initial understanding of the impact of a project or decision on the environment. This document should be completed in consultation with the supporting guidance. Dependent on this initial assessment you may need to go on to complete a full Climate Change Impact Assessment. The final document will be published as part of the decision-making process.

If you have any additional queries, which are not covered by the guidance please email [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk)

<b>Title of proposal</b>	<b>Consultation on Introducing Eligibility Tests and Fees for Self-build and Custom Housebuilding Register</b>
<b>Brief description of proposal</b>	To seek approval of the Executive Member to consult on the draft criteria for inclusion on the Self-build and Custom Housebuilding Register which requires the payment of a fee and the ability to meet a local connection test.
<b>Directorate</b>	<b>Community Development</b>
<b>Service area</b>	<b>Planning</b>
<b>Lead officer</b>	<b>Steve Wilson, Planning Policy &amp; Conservation Manager (Scarborough)</b>
<b>Names and roles of other people involved in carrying out the impact assessment</b>	N/A

The chart below contains the main environmental factors to consider in your initial assessment – choose the appropriate option from the drop-down list for each one.

Remember to think about the following;

- Travel
- Construction
- Data storage
- Use of buildings
- Change of land use
- Opportunities for recycling and reuse

<b>Environmental factor to consider</b>	<b>For the council</b>	<b>For the county</b>	<b>Overall</b>
Greenhouse gas emissions	No effect on emissions	No Effect on emissions	No effect on emissions
Waste	No effect on waste	No effect on waste	No effect on waste
Water use	No effect on water usage	No effect on water usage	No effect on water usage
Pollution (air, land, water, noise, light)	No effect on pollution	No effect on pollution	No effect on pollution
Resilience to adverse weather/climate events (flooding, drought etc)	No effect on resilience	No effect on resilience	No effect on resilience
Ecological effects (biodiversity, loss of habitat etc)	No effect on ecology	No effect on ecology	No effect on ecology
Heritage and landscape	No effect on heritage and landscape	No effect on heritage and landscape	No effect on heritage and landscape

If any of these factors are likely to result in a negative or positive environmental impact then a full climate change impact assessment will be required. It is important that we capture information about both positive and negative impacts to aid the council in calculating its carbon footprint and environmental impact.

<b>Decision (Please tick one option)</b>	Full CCIA not relevant or proportionate:	X	Continue to full CCIA:	
<b>Reason for decision</b>	<p>The recommendation is to seek approval to consult on the criteria attached to be entered onto the self-build and custom housebuilding register. This is limited only to (a) paying a fee, and (b) meeting a local connection test.</p> <p>This is not about the actual policies of the local plan or any decisions made on planning applications in relation to the location or construction of any self-build homes. The actual specification of house design has no bearing on the register and that this would follow in the relevant planning permission and building control regulation requirements in terms of energy efficiency and design.</p> <p>Whilst not noted in the table above there is the nuanced argument that by restricting development to those persons with a local connection (to North Yorkshire) this eliminates the use of self-build plots for the construction of second homes. Second homes can be an inefficient use of a residential unit and often used for holiday letting purposes. This can result in long journeys by motor vehicles for short-term stays as opposed to a person(s) living and residing in the area.</p> <p>With this in mind, the impacts on climate change are therefore considered to be minor in nature, and in respects of this proposal in a positive manner.</p>			
<b>Signed (Assistant Director or equivalent)</b>	Steve Wilson			

<b>Date</b>	7/09/23
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## North Yorkshire Council

### Executive Member Meeting

11<sup>th</sup> October 2023

### Sport England Swimming Pool Support Fund

#### Report of the Corporate Director Nic Harne.

#### 3.1 PURPOSE OF REPORT

- 3.1 To request approval from the Assistant Director- Resources following consultation with the Executive Member for Culture, Arts and Housing to authorise submission of an application to Sport England's Swimming Pool Support Fund for swimming pools across North Yorkshire.

#### 2.0 BACKGROUND

- 2.1 Phase #2 of this scheme is designed to support investment into energy saving systems for swimming pools. The £40million capital programme is available to all public swimming pools in the UK and funding will be awarded between £20,000-£1,000,000 per council area.
- 2.2 There are x17 Council owned swimming pools in North Yorkshire, however, the funding process requires both Council and Community/Charity owned swimming pools to form a collective application for each Council area. The application process requires detailed technical building and plant information for each swimming pool and there is stringent criteria guidance that may preclude some swimming pools, the eligibility of each North Yorkshire owned swimming pool is currently being assessed.
- 2.3 It is proposed that an application is submitted for eligible North Yorkshire owned swimming pools and community/charity partner swimming pools before the 17th October 2023 application submission deadline.
- 2.4 Further details about the scheme, can be seen following the link below:  
<https://www.sportengland.org/guidance-and-support/facilities-and-planning/swimming-pool-support-fund>

#### 3.0 PROPOSAL

- 3.1 There are x17 Council owned swimming pools in North Yorkshire, however, the funding process requires both Council and community/charity owned swimming pools to form a collective application for each Council area, the table below lists the swimming pools included in the application, those highlighted are Council owned:

LEISURE CENTRE	OPERATOR
CRAVEN LEISURE	North Yorkshire Council
SETTLE SWIMMING POOL	Settle Area Swimming Pool Charity
UPPER WHARFEDALE SCHOOL	North Yorkshire Council (School)
BEDALE LEISURE CENTRE	North Yorkshire Council
NORTHALLERTON LEISURE CENTRE	North Yorkshire Council
STOKESLEY LEISURE CENTRE	North Yorkshire Council

THIRSK AND SOWERBY LEISURE CENTRE	North Yorkshire Council
JACK LAUGHER LEISURE AND WELLNESS CENTRE	Brimhams Active
KNARESBOROUGH POOL	Brimhams Active
NIDDERDALE POOL AND LEISURE CENTRE	Brimhams Active
STARBECK BATHS	Brimhams Active
THE HYDRO	Brimhams Active
RICHMOND SWIMMING POOL	Richmond Leisure Trust
DERWENT SWIM & FITNESS CENTRE	Everyone Active
RYEDALE SWIM & FITNESS CENTRE	Everyone Active
SCARBOROUGH SPORTS VILLAGE	Everyone Active
WHITBY LEISURE CENTRE	Everyone Active
SELBY LEISURE CENTRE	Inspiring Healthy Lifestyles
TADCASTER COMMUNITY SWIMMING POOL	Tadcaster Community Swimming Pool Trust
HELMSLEY OPEN AIR SWIMMING POOL	Helmsley Open Air Swimming Pool Charity

3.2 It is proposed that an application is submitted for eligible North Yorkshire owned swimming pools and community/charity partner swimming pools, a copy of the application template can be seen in Annex A.

3.3 Swimming pools are high energy consuming and with recent exceptional rise in energy costs, the financial impact on each swimming pool is significant. The funding criteria allows up to £1,000,000 per Council area, therefore, the funding request per site is yet to be determined, whilst feasibility work is being carried out, however, we anticipate that the overall value of the application will reach the maximum £1,000,000. The awarding of funds is determined by specific criteria and number of applicants, Sport England expect the scheme to be significantly over-subscribed.

3.4 The application process requires a priority order as to which swimming pools are in most need of funding, the assessment will be based on the following factors:

- Age and condition of swimming pool plant and equipment
- Financial impact – scale of potential savings
- Location – review of swimming pool provision in surrounding area
- Access – number of hours available for public access

The Assistant Director of Culture, Leisure, Archives and Libraries (Community Development) will approve the priority ordering.

3.5 The distribution of funding will need to be agreed with organisations that operate swimming pools on behalf of North Yorkshire Council and community/charity partner organisations.

#### **4.0 CONSULTATION UNDERTAKEN AND RESPONSES**

4.1 All operators of council owned swimming pools have been engaged and are in support of the application. Other community/charity swimming pools are also in support of the application.

#### **5.0 ALTERNATIVE OPTIONS CONSIDERED**

5.1 There are no alternative options, this is a 'one-off' scheme.

#### **6.0 IMPACT ON OTHER SERVICES/ORGANISATIONS**

6.1 Successful application to the scheme, will help reduce the impact of rising energy costs and support the sustainability of swimming pools across North Yorkshire.

6.2 The council's property team will need involvement in any council owned swimming pools that are awarded funding, in terms of design and installation. Depending on the value of any awarded funds, finance and procurement may required to provide support.

## **7.0 FINANCIAL IMPLICATIONS**

7.1 Potential receipt of up to £1,000,000 grant funding, individual swimming pool funding requests are yet to be determined.

7.2 Should the application be successful, the funding will contribute towards the overall financial sustainability of council and community owned pools and will reduce the level of council subsidy.

## **8.0 LEGAL IMPLICATIONS**

8.1 At the current time it is understood that grant terms are not available for review, however, should these be issued they will also be reviewed by legal services.

8.2 If the application is successful NYC will be required to enter into a Funding Agreement. This agreement will be reviewed by legal services and if any of the terms and conditions present an unacceptable risk for the Council then the grant offer would be declined.

8.3 Any agreements entered into with operators will be approved by legal services to ensure compliance with the Procurement and Contract Procedure Rules and the Subsidy Control Act 2022.

## **9.0 EQUALITIES IMPLICATIONS**

9.1 None, see Annex B, Equality Impact Assessment

## **10.0 CLIMATE CHANGE IMPLICATIONS**

10.1 See Annex C – Climate Change Impact Assessment. Depending on the funding award, many of the potential energy saving interventions included in the scheme provide a carbon saving.

## **11.0 REASONS FOR RECOMMENDATIONS**

11.1 To support the financial sustainability of swimming pool provision for residents across North Yorkshire.

11.2 To support the Council's efficiency saving programme.

## **12.0 RECOMMENDATION**

For the Assistant Director - Resources following consultation with the Executive Member for Culture, Arts and Housing to authorise the submission of the application to Sport England's Swimming Pool Support Fund #2 for swimming pools across North Yorkshire.

Nic Harne  
Corporate Director – Community Development  
County Hall  
Northallerton

><

Report Author – David Ashbridge, Head of Sport and Active Wellbeing (Operations)  
Presenter of Report – David Ashbridge/Jo Ireland, Assistant Director of Culture, Leisure, Archives  
and Libraries

Note: Members are invited to contact the author in advance of the meeting with any detailed queries  
or questions.





**1 Local Authority Details** Error checks: Blank checks, Blank facility with input data, Data validation check, Funding requirement > £250k, Positive number, Whole number, Warning checks: Blank input with Yes selected

1.01 Name of Local Authority	text	Select from dropdown list					
1.02 Name of key application contact	text	Must be a local authority officer					
1.03 Email address of key application contact	text						
1.04 Phone number of key application contact	text						
1.05 Name of Section 151 Officer	text						
1.06 Email address of Section 151 Officer	text						

**2 Facility Details**

2.01 Facility Name	text						
2.02 Facility Address	text						
2.03 Facility Postcode	text						
2.04 Active Places ID	id						
2.05 Number of swimming pools within facility	int	Please enter as the nearest positive whole number with no text or symbols					
2.06 Total square meterage of swimming provision in facility	sqm	Please enter as the nearest positive whole number with no text or symbols					
2.07 Average weekly operational hours for 'Pay and Play' public swimming	hours	For the purposes of SPSP a pool must offer public pay-as-you-swim sessions to be eligible and this offer must be significant enough to be considered part of the local public swimming provision by the local authority. Private hire of a swimming pool and membership access is not considered to be public access.					
2.08 Facility priority within Local Authority submission	int	Please enter as the nearest positive whole number with no text or symbols. Where an authority applies for more than one facility it must rank these facilities in order, with 1 being the highest priority for support					
2.09 Did you submit an application for this facility to the Revenue Phase of SPSP?	text	Please enter as the nearest positive whole number with no text or symbols					
2.10 Is the facility publicly owned?	text	If "yes" then you do not need to complete the hatched cells below. This information will be taken from your revenue application form					
2.11 Is this facility provided using a PFI contract?	text						
2.12 Facility Owner	text						
2.13 Facility Operator	text						
2.14 Can you demonstrate appropriate security of tenure of the facility?	text	The onward recipient must have either a freehold or leasehold interest in the land					
2.15 Are you aware of the use of RAAC (reinforced autoclaved aerated concrete) in this facility?	text						
2.16 If the facility is not publicly owned but considered an integral part of the local authority leisure provision please provide a brief description of how the facility operates in the same manner as a publicly owned one would	text						
2.17 We commit to keep the facility open in line with sliding scale provided in the detailed fund guidance	text	See page 17 in detailed fund guidance					
2.17 Please confirm that you have read and understood the 'subsidy control' information included in the detailed fund guidance	text	See page 20 in detailed fund guidance					
2.18 Potential subsidy recipient	text						
2.19 Relevant Cumulation Subsidy (received in Applicable Cumulation Period)	text						
2.20 Narrative explanation of source of Relevant Cumulation Subsidy	text						
2.21 Confirm that (a) the Potential Subsidy Recipient will not almost certainly go out of business in the short to medium term without the Swimming Pool Support Fund	text						
2.21 payment; (b) the Potential Subsidy Recipient is able to pay its debts as they fall due, and (c) the value of the Potential Subsidy Recipient's assets is not less than the amount of its liabilities, taking into account its contingent and prospective liabilities.	text						
2.22 Please confirm that you acknowledge the privacy statement on the Cover Sheet about how Sport England collects, uses and looks after personal data	text						
2.23 Please confirm that the application has been submitted with the operators/third party support, where applicable	text						
2.34 Please confirm that the application has been submitted with the Section 151 Officer's permission	text						

**3 Existing Interventions**

3.01 Existing Intervention	text	Narrative to include details on the nature/scale/size of the intervention and the frequency of use	Does the facility already have this in place?	Date of installation	Narrative explanation		
Pool Covers	text						
Variable speed pumps	text						
Variable speed fans	text						
Variable speed filtration	text						
Plant room insulation	text						
Shower flow restrictors	text						
Thermostatic radiator valves	text						
Replace Fluorescent Lighting with LED Lighting	text						
Install Combined heat and power (CHP) system	text						
Replace gas boilers > 20 years old with new	text						
Photo Volatic (PV) panels	text						
Install additional metering and monitoring software	text						
Upgrade pool hall windows to triple glazing	text						
Heat recovery to air handling units	text						
Power factor correction	text						
Micro-filtration	text						
3.02 Please provide a brief overview of the training undertaken by key staff in relation to energy efficiency	text						

**4 Proposed Capital Interventions**

4.01 Please select the capital interventions you would like to apply for from the menu of options below	text	- Where you apply for more than one intervention you must rank these interventions in order, with 1 being the highest priority for support - - Narrative description should provide high level information and a basic description of what is proposed	Would you like to apply for this capital intervention?	Priority of intervention Please enter as a positive whole number with no text or symbols	Narrative description of what you are applying for		
Photo Volatic (PV) panels	text						
Pool Covers	text						
Replace Fluorescent Lighting with LED Lighting	text						
Install Combined heat and power (CHP) system	text						
Replace gas boilers > 20 years old with new	text						
Install additional metering and monitoring software	text	Note this is only applicable to facilities applying for less than £250,000					
Upgrade pool hall windows to triple glazing	text						
Heat recovery to air handling units	text						
Variable speed pumps	text						
Variable speed fans	text						
Variable speed filtration	text						
Plant room insulation	text						
Shower flow restrictors	text						
Thermostatic radiator valves	text						
Power factor correction	text						
Micro-filtration	text						
Other 1 (not on menu of options)	text	Where you are applying for an "other" item these narrative description needs to be of sufficient detail for an assessor to understand what is being applied for and why you are applying for this					
Other 2 (not on menu of options)	text						
Other 3 (not on menu of options)	text						
4.02 Please confirm that for any interventions you have applied for that you will include the "information required at the application stage" (as set out in Appendix A of the detailed guidance) as part of the supporting documentation you upload to the application portal	text	See page 32 in detailed fund guidance					
4.03 Please confirm that where you have applied for "other" interventions that you will include robust technical analysis around its feasibility in terms of both its installation and its ability to deliver cost savings, the payback period and environmental improvements as part of the supporting documentation you upload to the application portal	text						

**4.04 Estimated cost of capital intervention**

Photo Volatic (PV) panels	£	Material costs and labour costs Please enter as the nearest positive whole number with no text or symbols	Directly Associated professional and technical costs Please enter as the nearest positive whole number with no text or symbols		
Pool Covers	£				
Replace Fluorescent Lighting with LED Lighting	£				
Install Combined heat and power (CHP) system	£				
Replace gas boilers > 20 years old with new	£				
Install additional metering and monitoring software	£				
Upgrade pool hall windows to triple glazing	£				
Heat recovery to air handling units	£				
Variable speed pumps	£				
Variable speed fans	£				
Variable speed filtration	£				
Plant room insulation	£				
Shower flow restrictors	£				
Thermostatic radiator valves	£				
Power factor correction	£				
Micro-filtration	£				
Other 1 (not on menu of options)	£				
Other 2 (not on menu of options)	£				
Other 3 (not on menu of options)	£				
<b>Total estimated cost of capital intervention</b>	£				

4.05 Partnership funding details - Value

4.05 Partnership funding details - Value	£	Please enter as the nearest positive whole number with no text or symbols		
--	---	---	--	--

See page 14 in detailed fund guidance

4.06 Partnership funding details - Narrative text

4.07 Total Funding Request from SPSF £

4.08 Please provide brief details of the basis of your funding request text

4.09 Please provide brief details on how you expect to procure the proposed interventions for which funding is sought? text

*Only applicable to Total Funding Request from SPSF of more than £250000*

4.10 I confirm that I have in place or have a clear plan to implement, within the project timeframe and at my own cost suitable sub-metering for the swimming pool facilities applied for text

---

**5 Operation Data for Facility**

**5.01 Utilities Usage - Pre Intervention**

Financial Period	2021/22	2022/23	2023/24	2024/25
Period	Actual	Actual	Actual/Forecast	Forecast
Gas (kW)				
Electric (kWh)				
Water (cubic metres)				
Oil (litres)				
LPG (kg)				
Woodchip (Tonnes)				
Other (Please provide narrative below)				

Please provide a brief narrative setting out the basis on which the forecasts have been made text

**5.02 Utilities Usage - Post Intervention**

Financial Period	2021/22	2022/23	2023/24	2024/25
Period	Actual	Actual	Actual/Forecast	Forecast
Gas (kW)				
Electric (kWh)				
Water (cubic metres)				
Oil (litres)				
LPG (kg)				
Woodchip (Tonnes)				
Other (Please provide narrative below)				

Please provide a brief narrative setting out the basis on which the forecasts have been made text

**5.03 Participation Data**

Financial Period	2021/22	2022/23	2023/24
Period	Actual	Actual	Actual/Forecast
Swimming lessons			
Swimming activities			

I acknowledge and agree that Sport England is making available funding for operators of swimming pools. I consent to 4Global sharing such data that it has within the Moving Communities platform with the local authority and Sport England for the purposes of applying for and administering the funding arrangements text

5.04

## Equality impact assessment (EIA) form: evidencing paying due regard to protected characteristics

(form updated June 2023)

### Sport England Swimming Pool Support Fund #2

If you would like this information in another language or format such as Braille, large print or audio, please contact the Communications Unit on 01609 53 2013 or email [communications@northyorks.gov.uk](mailto:communications@northyorks.gov.uk).



যদি আপনি এই ডকুমেন্ট অন্য ভাষায় বা ফরমেটে চান, তাহলে দয়া করে আমাদেরকে বলুন।

如欲索取以另一語文印製或另一格式製作的資料，請與我們聯絡。

اگر آپ کو معلومات کسی دیگر زبان یا دیگر شکل میں درکار ہوں تو برائے مہربانی ہم سے پوچھئے۔

**Equality Impact Assessments (EIAs) are public documents. EIAs accompanying reports going to County Councillors for decisions are published with the committee papers on our website and are available in hard copy at the relevant meeting. To help people find completed EIAs, we also publish them in our website's Equality and Diversity section. This will help people to see for themselves how we have paid due regard in order to meet statutory requirements.**

Name of Directorate and Service Area	Community Development (Culture, Leisure, Archives and Libraries)
Lead Officer and contact details	David Ashbridge david.ashbridge@northyorks.gov.uk 01609 767276
Names and roles of other people involved in carrying out the EIA	Jo Ireland, Assistant Director – Culture, Leisure, Archives and Libraries
How will you pay due regard? for example, working group, individual officer	Involvement of support services in report for Exec Member Meeting
When did the due regard process start?	21 <sup>st</sup> September 2023

**Section 1. Please describe briefly what this EIA is about.** (for example, are you starting a new service, changing how you do something, stopping doing something?)  
Application to Sport England's Swimming Pool Support Fund for swimming pools in North Yorkshire.

**Section 2. Why is this being proposed? What are the aims? What does the authority hope to achieve by it?** (for example, to save money, meet increased demand, do things in a better way.)

Phase #2 of this scheme is designed to support investment into energy saving systems for swimming pools. The £40million capital programme is available to all public swimming pools in the UK and funding will be awarded between £20,000-£1,000,000 per council area.

There are x16 Council owned swimming pools in North Yorkshire, however, the funding process requires both Council and Community/Charity owned swimming pools to form a collective application for each Council area. The application process requires detailed technical building and plant information for each swimming pool and there is stringent criteria guidance that may preclude some swimming pools, the eligibility of each North Yorkshire owned swimming pool is currently being assessed.

It is proposed that an application is submitted for eligible North Yorkshire owned swimming pools and community/charity partner swimming pools before the 17th October 2023 application submission deadline.

**Section 3. What will change? What will be different for customers and/or staff?**

Should the application be successful, the funding will contribute towards the overall financial sustainability of council and community owned pools and will reduce the level of council subsidy.

**Section 4. Involvement and consultation** (What involvement and consultation has been done regarding the proposal and what are the results? What consultation will be needed and how will it be done?)

N/A

**Section 5. What impact will this proposal have on council budgets? Will it be cost neutral, have increased cost or reduce costs?**

Potential receipt of up to £1,000,000 grant funding. There are no cost implications for the council.

<b>Section 6. How will this proposal affect people with protected characteristics?</b>	<b>No impact</b>	<b>Make things better</b>	<b>Make things worse</b>	<b>Why will it have this effect? Provide evidence from engagement, consultation and/or service user data or demographic information etc.</b>
Age	✓			
Disability	✓			
Sex	✓			
Race	✓			
Gender reassignment	✓			
Sexual orientation	✓			
Religion or belief	✓			
Pregnancy or maternity	✓			
Marriage or civil partnership	✓			

<b>Section 7. How will this proposal affect people who...</b>	<b>No impact</b>	<b>Make things better</b>	<b>Make things worse</b>	<b>Why will it have this effect? Provide evidence from engagement, consultation and/or service user data or demographic information etc.</b>
..live in a rural area?		✓		Potential grant funding will support the sustainability of swimming pools in North Yorkshire
...have a low income?	✓			

...are carers (unpaid family or friend)?	✓			
..... are from the Armed Forces Community	✓			

**Section 8. Geographic impact – Please detail where the impact will be (please tick all that apply)**

North Yorkshire wide	✓
Craven district	
Hambleton district	
Harrogate district	
Richmondshire district	
Ryedale district	
Scarborough district	
Selby district	
<b>If you have ticked one or more districts, will specific town(s)/village(s) be particularly impacted? If so, please specify below.</b>	

**Section 9. Will the proposal affect anyone more because of a combination of protected characteristics?** (for example, older women or young gay men) **State what you think the effect may be and why, providing evidence from engagement, consultation and/or service user data or demographic information etc.**

**No**

<b>Section 10. Next steps to address the anticipated impact. Select one of the following options and explain why this has been chosen. (Remember: we have an anticipatory duty to make reasonable adjustments so that disabled people can access services and work for us)</b>	<b>Tick option chosen</b>
--	---------------------------

1. <b>No adverse impact - no major change is needed to the proposal.</b> There is no potential for discrimination or adverse impact identified.	✓
2. <b>Adverse impact - adjust the proposal</b> - The EIA identifies potential problems or missed opportunities. We will change our proposal to reduce or remove these adverse impacts, or we will achieve our aim in another way which will not make things worse for people.	
3. <b>Adverse impact - continue the proposal</b> - The EIA identifies potential problems or missed opportunities. We cannot change our proposal to reduce or remove these adverse impacts, nor can we achieve our aim in another way which will not make things worse for people. (There must be compelling reasons for continuing with proposals which will have the most adverse impacts. Get advice from Legal Services)	
4. <b>Actual or potential unlawful discrimination - stop and remove the proposal –</b> The EIA identifies actual or potential unlawful discrimination. It must be stopped.	
<b>Explanation of why the option has been chosen</b> (include any advice given by Legal Services.) The proposal is to secure grant funding to support the sustainability of swimming pools across North Yorkshire. All swimming pools that are registered with Sport England have been contacted and those interested are in the process of preparing their application.	

**Section 11. If the proposal is to be implemented how will you find out how it is really affecting people?** (How will you monitor and review the changes?)

The continued operating of swimming pools in North Yorkshire.

**Section 12. Action plan.** List any actions you need to take which have been identified in this EIA, including post implementation review to find out how the outcomes have been achieved in practice and what impacts there have actually been on people with protected characteristics.

Action	Lead	By when	Progress	Monitoring arrangements

**Section 13. Summary** Summarise the findings of your EIA, including impacts, recommendation in relation to addressing impacts, including any legal advice, and next steps. This summary should be used as part of the report to the decision maker.

There is no equality impact in this proposal.

**Section 14. Sign off section**

This full EIA was completed by:

**Name: David Ashbridge**

**Job title: Head of Sport and Active Wellbeing (Operations)**

**Directorate: Community Development**

**Signature:**



**Completion date: 29/09/23**

**Authorised by relevant Assistant Director (signature):**



**Date:**

02/10/23



## Climate change impact assessment

The purpose of this assessment is to help us understand the likely impacts of our decisions on the environment of North Yorkshire and on our aspiration to achieve net carbon neutrality by 2030, or as close to that date as possible. The intention is to mitigate negative effects and identify projects which will have positive effects.

This document should be completed in consultation with the supporting guidance. The final document will be published as part of the decision making process and should be written in Plain English.

If you have any additional queries which are not covered by the guidance please email [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk)

### Version 2: amended 11 August 2021

**Please note: You may not need to undertake this assessment if your proposal will be subject to any of the following:**

Planning Permission  
Environmental Impact Assessment  
Strategic Environmental Assessment

However, you will still need to summarise your findings in the summary section of the form below.

Please contact [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk) for advice.

<b>Title of proposal</b>	<b>Sport England Swimming Pool Support Fund</b>
<b>Brief description of proposal</b>	Sport England Swimming Pool Support Fund (phase 2) is designed to support investment into energy saving systems for swimming pools. The £40million capital programme is available to all public swimming pools in the UK and funding will be awarded between £20,000-£1,000,000 per council area.  The scheme is now open and the application submission window is 2 <sup>nd</sup> October -17 <sup>th</sup> October, funding will be awarded between £20,000 - £1,000,000 as a whole (per authority) and decisions will be confirmed in December 2023.
<b>Directorate</b>	<b>Community Development</b>
<b>Service area</b>	<b>Culture, Leisure, Archives and Libraries</b>
<b>Lead officer</b>	<b>David Ashbridge</b>

<b>Names and roles of other people involved in carrying out the impact assessment</b>	<b>Jo Ireland, Assistant Director – Culture, Leisure, Archives and Libraries</b>
<b>Date impact assessment started</b>	<b>29<sup>th</sup> September 2023</b>

**Options appraisal**

Were any other options considered in trying to achieve the aim of this project? If so, please give brief details and explain why alternative options were not progressed.

No, this is a 'one off' scheme.

**What impact will this proposal have on council budgets? Will it be cost neutral, have increased cost or reduce costs?**

Potential receipt of up to £1,000,000 grant funding. There are no cost implications for the council.

<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>• Changes over and above business as usual</li> <li>• Evidence or measurement of effect</li> <li>• Figures for CO<sub>2</sub>e</li> <li>• Links to relevant documents</li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>	
<p>Minimise <b>greenhouse gas emissions</b> e.g. reducing emissions from travel, increasing energy efficiencies etc.</p>	Emissions from travel		x				
	Emissions from construction		x				
	Emissions from running of buildings	x			Depending on the funding award, many of the energy saving interventions included in the scheme provide a carbon saving.		New energy efficient plant and equipment.
	Emissions from data storage		x				
	Other		x				
<p>Minimise <b>waste</b>: Reduce, reuse, recycle and compost e.g. reducing use of single use plastic</p>			x				
<p>Reduce <b>water</b> consumption</p>	x				Depending on the funding award, many of the energy saving interventions included in the scheme provide a water saving.		New energy efficient plant and equipment.

<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>• Changes over and above business as usual</li> <li>• Evidence or measurement of effect</li> <li>• Figures for CO<sub>2</sub>e</li> <li>• Links to relevant documents</li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>
<p>Minimise <b>pollution</b> (including air, land, water, light and noise)</p>		<p><b>x</b></p>				
<p>Ensure <b>resilience</b> to the effects of climate change e.g. reducing flood risk, mitigating effects of drier, hotter summers</p>		<p><b>x</b></p>				
<p>Enhance <b>conservation</b> and wildlife</p>		<p><b>x</b></p>				
<p>Safeguard the distinctive characteristics, features and special qualities of <b>North Yorkshire's landscape</b></p>		<p><b>x</b></p>				
<p>Other (please state below)</p>		<p><b>x</b></p>				

**Are there any recognised good practice environmental standards in relation to this proposal?** If so, please detail how this proposal meets those standards.

None.


**Summary** Summarise the findings of your impact assessment, including impacts, the recommendation in relation to addressing impacts, including any legal advice, and next steps. This summary should be used as part of the report to the decision maker.

Depending on the funding award, many of the energy saving interventions in the scheme provide a carbon saving, including:

- Photo Voltaic (PV) panels
- Pool Covers
- LED Lighting
- Combined heat and power (CHP) system;
- Replace gas boilers > 20 years old with new
- Install additional metering and monitoring software
- Upgrade pool hall windows to triple glazing
- Heat recovery to air handling units
- Variable speed pumps
- Variable speed fans
- Variable speed filtration
- Plant room insulation
- Shower flow restrictors
- Thermostatic radiator valves
- Power factor correction
- Micro-filtration

**Sign off section**

This climate change impact assessment was completed by:

<b>Name</b>	<b>David Ashbridge</b>
<b>Job title</b>	<b>Service Manager – Leisure Operations</b>
<b>Service area</b>	<b>Culture, Leisure, Archives and Libraries</b>
<b>Directorate</b>	<b>Community Development</b>
<b>Signature</b>	
<b>Completion date</b>	<b>29.09.23</b>

**Authorised by relevant Assistant Director (signature):**



**Date:02/10/23**