



Meeting: Audit Committee

Members: Councillors and Independent Co-opted Members (non-voting) Cliff Lunn (Chair), Alyson Baker, Philip Broadbank, George Jabbour (Vice-Chair), Mike Jordan, Karin Sedgwick, Neil Swannick, Peter Wilkinson, Felicity Cunliffe-Lister, David Chance, Mr David Marsh and Mr David Portlock

Date: Monday, 24th June, 2024

Time: 1.30 pm

Venue: Brierley Room, County Hall, Northallerton

Members of the public are entitled to attend this meeting as observers for all those items taken in open session. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

You may also be interested in [subscribing to updates](#) about this or any other North Yorkshire Council committee.

This meeting is being held as an in-person meeting. The meeting is also 'hybrid', which enables people to attend the meeting remotely using MS Teams. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

Recording is allowed at Council, committee and sub-committee meetings which are open to the public, please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Democratic Services Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk/>

AGENDA

1. **Apologies for Absence and Notification of Substitutes**

2. **Minutes of the Meeting held on 18 March, 2024**

(Pages 5 - 12)

To approve the minutes of the previous meeting.

3. **Declarations of Interest**

Enquiries relating to this agenda please contact Paul Preston Tel: 0300 131 2131

or e-mail: paul.preston@northyorks.gov.uk

Website: www.northyorks.gov.uk

OFFICIAL

All Members are invited to declare at this point any interests they have in items appearing on this agenda, including the nature of those interests.

4. Public Participation

Members of the public may ask questions or make statements at this meeting if they have given notice to Paul Preston of Democratic and Scrutiny Services and supplied the text (contact details below) by midday on Wednesday 19 June, 2024, three working days before the day of the meeting. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

5. Progress on Issues Raised by the Committee (Pages 13 - 14)

Joint Report of the Corporate Director – Resources and the Assistant Chief Executive (Legal and Democratic Services).

6. External Auditors - Deloitte

Verbal update of the External Auditors – Deloitte.

7. External Audit Progress Report - June 2024 (Pages 15 - 20)

Report to the External Auditors, Forvis Mazars.

8. Annual Report of the Head of Internal Audit (Pages 21 - 56)

Report of the Head of Internal Audit

9. Information Governance - Annual Report (Pages 57 - 64)

Report of the Corporate Director, Resources.

10. 2024/25 Internal Audit and Information Governance Work Programmes (Pages 65 - 86)

Report of the Head of Internal Audit.

11. Counter Fraud Annual Report (Pages 87 - 96)

Report of the Head of Internal Audit.

12. Internal Control Matters for the Children and Young People's Services Directorate (Pages 97 - 122)

Report of the Corporate Director of Children and Young People's Services.

13. Procurement and Contract Management Strategy (Pages 123 - 148)

Report of the Corporate Director, Resources.

14. CIPFA Financial Management Code (Pages 149 - 164)

Report of the Corporate Director, Resources.

15. Corporate Governance (Pages 165 - 180)

Report of the Corporate Director, Resources.

16. Programme of Work

**(Pages 181 -
182)**

Report of the Corporate Director - Resources

Purpose of report: To identify items of business scheduled for consideration at each of the Committee's forthcoming meetings.

17. Any other Items

Any other items which the Chair agrees should be considered as a matter of urgency because of special circumstances.

18. Date of Next Meeting

Monday, 23 September, 2024 at 1,30pm

Members are reminded that in order to expedite business at the meeting and enable Officers to adapt their presentations and address areas causing difficulty, they are encouraged to contact Officers prior to the meeting with questions on technical issues in reports.

Contact Details:

Paul Preston, Democratic Services

Tel: 0300 131 2131

Email: paul.preston@northyorks.gov.uk

Barry Khan

Assistant Chief Executive

(Legal and Democratic Services)

County Hall

Northallerton

Friday, 14 June 2024