

CYPS Directorate

Risk Register: **month 6 (April 2021) – detailed**

Next Review Due: **September 2021**

Report Date: **13th May 2021 (pw)**

Phase 1 - Identification											
Risk Number	24/249	Risk Title	24/249 - Educational Outcomes				Risk Owner	CD CYPS	Manager	CYP AD E&S	
Description	Failure to ensure positive educational outcomes for children and young people together with appropriate support for schools to be good or outstanding, given the current Covid pressures and lack of 2020 data, results in lower achievement levels for pupils, and NY children's life chances being determined by geography or family circumstances rather than being in their own hands. Moved to a 2/2 on Ed and Skills					Risk Group	Performance	Risk Type	E&S 27/19		
Phase 2 - Current Assessment											
Current Control Measures			Detailed analysis of data; annual performance review and target settings with schools including team around the school (categorisation); effective targeted intervention; School Improvement strategy including monitoring groups for vulnerable children; alternative models of school leadership including mergers and federations; locality boards developed and the North Yorkshire Learning Trust to be established;								
Probability	M	Objectives	M	Financial	M	Services	L	Reputation	H	Category	2
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed		
Reduction	24/428 - Working together through locality boards and develop the North Yorkshire Learning Trust						CYP AD E&S	Sun-31- Oct-21			
Reduction	24/430 - Continue to implement plans to further improve Children in Care educational outcomes particularly with the focus on progress – ongoing						CYP Ho ELAC	Sun-31- Oct-21			
Reduction	24/571 - Ensure that service dashboards reflect the criteria for each of the key inspection areas and are monitored on a regular basis						CYP AD C&F CYP AD E&S CYP AD Incl	Sun-31- Oct-21			
Reduction	24/1179 - Ensure pre inspection readiness within CYPS for the inspections of LA services, and for schools within the inspection window by continual monitoring of performance and identifying areas for further improvement by assessing their impact (ongoing)						CSD AD SR (HE) CYP AD C&F CYP AD E&S CYP AD Incl	Sun-31- Oct-21			
Reduction	24/1185 - Continue to develop and implement the approach to the 'Scarborough Opportunity Area' which builds on the 'Scarborough Pledge' and collaboratively challenges underachievement; working towards sustainable approaches to those projects that have delivered most impact						CD CYPS	Sat-31- Jul-21			
Reduction	27/401 - Continue with work under the LEP umbrella to establish stronger links with colleges, businesses and employers through the skills agenda						CYP AD E&S	Sun-31- Oct-21			
Reduction	27/618 - Ensure we implement a clear, rigorous QA process for all school improvement activity including where partners have been commissioned to deliver support within TSA and NLE, setting the standards and recording and evaluation protocols to be adhered to						CYP AD E&S	Sat-31- Jul-21			
Reduction	27/658 - Review the performance of small schools and develop best practice approaches;						CYP AD E&S	Sat-31- Jul-21			
Reduction	27/1372 - Continue the evaluation of the school improvement service to ensure it remains fit for need; restructure was implemented from Jan 2020; PIR has taken place and a report will be taken to CYPLT before Easter 2021, the agreed recommendations will then be implemented as a new piece of work						CYP AD E&S	Mon-31- May-21			

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Reduction	27/1390 - Continue to work with colleagues across CYPs and key stakeholders to ensure that there is a strategic approach to school readiness and development of approaches that deliver significant impact; linking in with Childhood Futures					CYPs AD E&S	Sat-31-Jul-21				
Phase 4 - Post Risk Reduction Assessment											
Probability	L	Objectives	M	Financial	M	Services	L	Reputation	H	Category	3
Phase 5 - Fallback Plan											
Fallback Plan	24/560 - Continually review via internal mechanisms and the new NY Education Partnership and challenge Programmes and Strategies in order to ensure better educational outcomes							Action Manager			
								CD CYPs			

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Phase 1 - Identification											
Risk Number	24/250	Risk Title	24/250 - Safeguarding Arrangements				Risk Owner	CD CYPS		Manager	CYPS AD C&F
Description	Failure to have a robust approach to Safeguarding in place results in risk to vulnerable children and families and not protecting them from harm.					Risk Group	Safeguarding		Risk Type	C&F 22/252	
Phase 2 - Current Assessment											
Current Control Measures			North Yorkshire Safeguarding Children Partnership website; regularly reviewed procedures; monthly performance data for monitoring; audit regime; manager authorisation of all assessments; LCS; missing and at risk of exploitation multi-agency procedures and Specialist Social Worker roles to support practitioners ; training strategy; clear supervision process which is audited on a regular basis; Multi Agency Screening Team (MAST); OFSTED 'outstanding' categorisation; Mgt file audit of case files; monitoring and management of performance against agreed targets in the SMT action plan								
Probability	L	Objectives	H	Financial	H	Services	M	Reputation	H	Category	3
Phase 3 - Risk Reduction Actions											
							Action Manager	Action by	Completed		
Reduction	22/407 - Continuation of 'Practice Weeks' where managers will visit locations to observe and review practice; these are now in place and teams will to be involved in the planning to make these more effective						CYPS C&F SMT	Thu-30-Sep-21			
Reduction	22/645 - Develop contingency plans around the MAST to support should demand increase						CYPS C&F Snr HoS	Thu-30-Sep-21			
Reduction	22/1079 - Use and further development of performance dashboards to support individual managers including development of managing upwards reports which support management and ownership of performance						CYPS C&F Snr HoS	Thu-30-Sep-21			
Reduction	24/431 - Ensure compliance with Children and Families' and Partnership's procedures						CYPS AD C&F	Thu-30-Sep-21			
Reduction	24/433 - Formulation of Group Manager and Specialist Social Workers to oversee and support practice in relation to Contextual Safeguarding						CYPS C&F Snr HoS	Thu-30-Sep-21			
Reduction	24/434 - Manage the risk that as children, young people and their families are not seen by their networks and professionals they would usually have contact with due to restrictions; If restrictions increase the pressures for families increase which in turn increases the risk. This is will be monitored closely by SLT. Escalation process are in place when families cannot be seen to ensure appropriate decision making and use of PPE if required. A Hidden Harm Group has been formulated which has raised awareness around the issue with a message for people to be even more vigilant.						CYPS C&F Snr HoS	Thu-30-Sep-21			
Reduction	24/571 - Ensure that service dashboards reflect the criteria for each of the key inspection areas and are monitored on a regular basis						CYPS AD C&F CYPS AD E&S CYPS AD Incl	Sun-31-Oct-21			
Reduction	24/1162 - Continue to feed into review of EDT arrangements (adult lead) as required						CYPS AD C&F	Thu-30-Sep-21			
Reduction	24/1179 - Ensure pre inspection readiness within CYPS for the inspections of LA services, and for schools within the inspection window by continual monitoring of performance and identifying areas for further improvement by assessing their impact (ongoing)						CSD AD SR (HE) CYPS AD C&F CYPS AD E&S CYPS AD Incl	Sun-31-Oct-21			

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Phase 4 - Post Risk Reduction Assessment											
Probability	L	Objectives	H	Financial	H	Services	M	Reputation	H	Category	3
Phase 5 - Fallback Plan											
										Action Manager	
Fallback Plan	24/252 - Carry out necessary review of approach, target underperforming areas and take on lessons learned from any serious case reviews									CD CYPS	

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Identity			Person		Classification												Fallback Plan			
Change	Risk Title	Risk Description	Risk Owner	Risk Manager	Pre						RR		Post						FBPlan	Action Manager
					Prob	Obj	Fin	Serv	Rep	Cat	RRs	Next Action	Prob	Obj	Fin	Serv	Rep	Cat		
▼	24/259 - SEND High Need Budget	Risk of further increased pressure on the budget given the current legislative framework, increased demand for specialist provision up to age 25 and budget reduction pressure resulting in negative impact on DSG, poor service performance and criticism.	CD CYPS	CYPS AD Incl	H	M	H	H	M	1	14	30/06/2021	M	M	M	H	M	2	Y	CYPS AD Incl
◀▶	24/211 - Schools Organisation and Capital Funding for places	Failure to assess and manage the combined effects of changes in the national school policy and capital funding for places framework, demographics (both rising and falling as a result of housing market changes) and national and local political circumstances, resulting in a fragmentation of the network of services for children, growing numbers of unsustainable and/or failing schools, insufficient school places, fragmentation due to academisation, increased public dissatisfaction, and loss of confidence in the County Council as local authority.	CD CYPS	CSD AD SR (HE) CYPS AD E&S	H	M	M	H	H	1	11	31/07/2021	M	M	M	H	H	2	Y	CYPS AD E&S
◀▶	24/277 - Schools Funding Challenges	Inadequate revenue and capital funding available for good quality schools, maintenance of school infrastructure and to ensure the sustainability of small rural schools in particular small secondary schools; poor financial management or failure to act in a timely manner by governors/head teachers DfE impose further restrictions on LA financial freedoms, results in potential accumulated deficits. Lack of investment in special provision such as special schools results in potential increased costs.	Chief Exec	CD CYPS	H	M	M	H	H	1	8	31/07/2021	M	M	M	H	H	2	Y	CD CYPS
◀▶	24/276 - Childhood Futures	Failure to deliver the Childhood Futures strategic partnership arrangements, re-aligning and joining together several essential services for children and families into a brand-new integrated services model working collaboratively with CYPS services, health partners and communities to improve the health and wellbeing of children and families, failure would result in poorer outcomes on health and school readiness and missed opportunities to tackle cost	CD CYPS	CYPS AD Incl	M	M	H	H	M	2	10	31/07/2021	L	M	M	H	H	3	Y	CYPS Comm Mgr Health

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Change	Risk Title	Risk Description	Risk Owner	Risk Manager	Pre						RR		Post						FBPlan	Action Manager
					Prob	Obj	Fin	Serv	Rep	Cat	RRs	Next Action	Prob	Obj	Fin	Serv	Rep	Cat		
◀▶	24/27 - Looked After Children and those on the Edge of Care	Failure to ensure that looked after and those on the edge of care children arrangements provide sufficient support for those with multiple and complex needs (including work on step down from Tier 4 cases, unaccompanied asylum seeker children and those not receiving 25 hours of education); that the service supports the regionalised adoption service; and ensures sufficient foster carers are recruited; failure to do so results in poorer outcomes for young people, the need for high cost interventions/placements and reputational damage	CD CYPS	CYPS AD C&F	M	M	H	M	H	2	11	30/09/2021	L	M	H	M	H	3	Y	CYPS AD C&F
◀▶	24/213 - Cultural Change and Beyond 2020	Failure to maintain a strong culture, processes and supporting capacity within CYPS to deliver Beyond 2020 at pace, failure to deliver savings targets, and address national funding and policy changes taking into account the impact of Coronavirus and LGR, resulting in lack of the right capacity at the right time, demand pressures, bottlenecks, inability to plan and overspending.	CD CYPS	CSD AD SR (HE)	M	M	H	H	H	2	5	30/09/2021	L	M	M	H	M	3	Y	CYPS LT
◀▶	24/178 - Information Governance and Health and Safety	Failure to ensure that good and safe governance arrangements in respect of data security and health and safety are in place throughout the Directorate resulting in potential Corporate Manslaughter, increased cost/claims, fines/prosecution, criticism and damage to the Council's reputation.	Chief Exec	CD CYPS	M	M	H	M	H	2	16	31/10/2021	L	M	M	M	H	3	Y	CD CYPS
◀▶	24/249 - Educational Outcomes	Failure to ensure positive educational outcomes for children and young people together with appropriate support for schools to be good or outstanding, given the current Covid pressures and lack of 2020 data, results in lower achievement levels for pupils, and NY children's life chances being determined by geography or family circumstances rather than being in their own hands. Moved to a 2/2 on Ed and Skills	CD CYPS	CYPS AD E&S	M	M	M	L	H	2	10	31/05/2021	L	M	M	L	H	3	Y	CD CYPS
◀▶	24/250 - Safeguarding Arrangements	Failure to have a robust approach to Safeguarding in place results in risk to vulnerable children and families and not protecting them from harm.	CD CYPS	CYPS AD C&F	L	H	H	M	H	3	9	30/09/2021	L	H	H	M	H	3	Y	CD CYPS

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Key	
	Risk Ranking has worsened since last review.
	Risk Ranking has improved since last review
	Risk Ranking is same as last review
- new -	New or significantly altered risk